2044

DECLARATION APPENDIX - A

11.12.2002

Confidential



Report

DECLARATION APPENDIX A



Table of Content

| Introduction | 3 |
|---|-----|
| Summary | |
| The concept of the Toponome | 4 |
| Toponomic Fingerprinting: Technology Overview | 5 |
| Principle of Toponomic Fingerprinting (TF) | 5 |
| Data acquisition | 6 |
| Image Processing | . 7 |
| Data mining and nomenclature | |
| a) Cellular and subcellular TF | 7 |
| b) Visualisation and quantification of toponomic fingerprints | |
| Biological example I | 9 |
| Biological example II | 10 |
| Biological example III | 10 |
| Methods | 11 |
| Image Processing 2D | 11 |
| Image Processing 3D | 12 |
| From Images to CPPs and CPP-Motifs | |
| Motif-Finder | 14 |
| Quality of Motifs | |
| Visualisation of Results | 15 |
| Results: abnormal cell surface protein cluster in ALS | |



Introduction

The present report summarizes the analysis which have lead to the detection of abnormal protein clusters (combinatorial protein patterns, CPP) on the cell surface of peripheral mononuclear cells (MNC) in ALS. These clusters are both the first biomarker of ALS and the rational for new therapeutic strategy interfering with these clusters which are all associated with the FcyRIII Receptor (CD16). These FcyRIII-associated clusters are part of the ALS Toponome!

The first part of this report gives an overview on the concept of the toponome and on the technology that underlies these analysis which enables for the first time protein fingerprinting on the single cell level (toponomic fingerprinting). The second part describes the methods used in this study and the third part describes the results including the visualization of the abnormal protein clusters in ALS.

Summary

In order to analyse the cell surface differentiation status of peripheral blood mononuclear cells (MNC) in ALS Toponomic Fingerprinting with a library of 18 different antibodies was performed.

Toponomic Fingerprinting is a technology that allows for the first time to trace out protein networks on the single cell level.

In the <u>2D approach</u> the protein expression was visualized at the single cell level in the 2D mode. After setting a protein-specific threshold, protein combinations could be expressed as binary codes (1 = protein is present above threshold, 0 = protein is present below threshold). To detect disease specific protein combinations, MelTec screens for a common denominator, the so called CPP-motif (see methods). For example, the CPP-motif "01**" denotes all protein combinations where protein 1 is expressed below threshold (0) and protein is above threshold (1), while each of the other two markers can be present or absent (*). Cells can theoretically combine n screened proteins in 3ⁿ different CPP-motifs. Screening 18 cell surface marker proteins allows for 387 Mio. possible motifs. Comparing MNC's from healthy volunteers and ALS patients both groups show statistically significant differences (p < 0.025) in 140 CPP-motifs. All abnormal ALS-specific CPP-motifs contain CD16 (FcγRIII) as the leading protein and therefore form ALS-specific super-motifs.

To validate the 2D-data we performed a <u>3D analysis</u> by imaging the cells in 500nm overlapping z-stacks. Per MNC 13 optical sections were obtained by deconvolution. The 3D images verify the ALS-specific CPP motifs, i.e. abnormal cell surface protein clusters, containing CD16, indicating an abnormal cell surface differentiation.

On the basis of the here presented 2D and 3D data a peripheral biomarker for ALS and basis for CD16-oriented modification therapy has been established.

¹ Toponome: "Topos" (greek: place) and Nomos (greek: law), the entirety of all proteins, protein-complexes, and protein networks traced out directly on the single cell level in the natural environment of the cells in situ. W. Schubert, Topological Proteomics, Toponomics, MELK-Technology. In: Hecker, M., Müllner, S. (eds.). Proteomics of Microorganismus: Fundamental Aspects and Application, Advances in Biochemical Engineering/Biotechnology. Springer Verlag, Berlin-Heidelberg-New York (In press)



The concept of the Toponome

In earlier work (see chapter toponomic fingerprinting) a whole cell protein fingerprinting technology (WCPF)/MELK) was developed, that allows for the first time to analyse quantitatively protein networks and protein complexes at the single-cell level, in the natural environment of cells in situ (e.g. in tissue) by tracing out hundreds of proteins at the subcellular level (essentially) simultaneously. By using large tag libraries to label any proteins in cells simultaneously the technology unravels the hierarchy of proteins and protein complexes assembled in the cell's toponome. By definition, the toponome³ is the entirety of all proteins, protein-complexes, and protein networks traced out directly on the single cell level in the natural environment of the cells in situ (e.g. tissues) by whole cell protein fingerprinting. The technology addresses the fact that each protein must be at the right time at the right place at the right concentration in a cell to interact with other proteins assembled in a spatially organized network. To encode the myriads of cellular functionalities, cells appear to having at their disposal a large, albeit finite and highly non-random repertoire of toponome units (TU's), i.e. a system of rules to construct topological hierarchies in a cell's proteome. The entirety of these TU's represents the total functional code of a cell. The complete toponome is therefore as fundamental a data set as the genome or the proteome.

Deciphering the human toponome is a necessary next step in functional genomics. It is now feasible by combining whole cell protein-fingerprinting (WCPF/MELK) technology, experimental cell models, and functional protein-linkage analyses, yet requiring new datamining, statistical, and mathematical strategies involving e.g. a fusion of combinatorial geometry and stochastics.

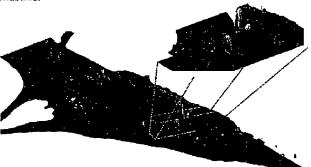


Fig. 1 gives an example of a toponomic fingerprint of a cell displaying a network of multiple subcellular protein complexes assembled within defined subcellular sites (ER, Golgi, early and late golgi vesicles, a.s.o.). Note that every possible functional state is characterized by specific topological arrangement of subcellular protein complexes that can be observed directly by whole cell protein fingerprinting in thousands of cells simultaneously (Toponome Mapping Factory).

Given the cell, we can distinguish at least four distinct functional levels: genome, transcriptome, proteome, and toponome. The information content increases dramatically at each level (Fig. 2). In order to properly address cell functionalities, it is necessary to analyse the toponome, because (i) it integrates proteins and their various modifications into 3D networks, (ii) allows to obtain information on rapid functional changes due to translocation and topological rearrangement of proteins (i.e. rapid biophysical

Le. Schubert, W.: US-Patent 6,150,173, "Autornated Determining & Measuring Device & Method"

Toponome: "Topos" (greek: place) and Nomos (greek: law). W. Schubert, Topological Proteomics, Toponomics, MELK-Technology. In: Hecker, M., Milliner, S. (eds.). Proteomics of Microorganismus: Fundamental Aspects and Application, Advances in Biochemical Engineering/Biotechnology. Springer Verlag, Berlin-Heidelberg-New York (In press)



processes like diffusion, collision, protein assembly, disassembly, a.s.o.) and (iii) represents (the result of applying) all the *rules* that cells use to form the highly non-random 3D organisation of the proteome that enciphers the myriads of cell functions.

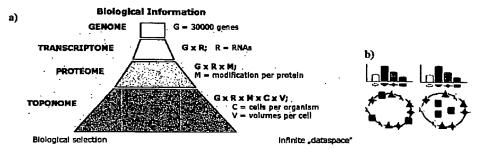


Fig. 2 a) Schematic illustration of the different functional levels of the cell and the related increase of information content; b) Note that different functional codes of the cell represented by different subcellular protein networks (2 cells, bottom images), cannot be recognized by expression profiling proteomic techniques, because the abundance of the single proteins is identical in both cells and averaging over extracted proteins allows no conclusion on the single cell level (upper 2 images)

Given the peripheral mononuclear cell (MNC), the MELK approach allows for the first time to analyse the toponome of cell surface proteins. Thereby it is possible to identify abnormal combinations of proteins at the cell surface, that would otherwise not be conspicuous because their abundance and/or regulation, captured by conventional proteomics or transcriptomics technologies is not abnormal. Therefore the MELK technology kept as the unique technology to quantify the toponome of the cell surface.

Toponomic Fingerprinting: Technology Overview

Principle of Toponomic Fingerprinting (TF)

The technological principle of TF is based upon the theory that a cell is made up almost entirely by protein-complexes in all compartments and also in the cytosol. Earlier data suggested4 that this allows proteins to diffuse freely between these complexes with a kinetic almost like in water although the average concentration of proteins in a given compartment may be semicristalline. By this highly conserved structural principle the internal environment of the cells provide a stable diffusion kinetic for any protein, for example for newly synthesised proteins across defined water channels. Provided that (i) this all over protein structure of a cell can be preserved by specific "fixation" methods, (ii) externally applied tag molecules, like antibodies, can penetrate the cellular membranes, and (iii) the binding of a tag molecule to a specific target molecule within the cell can be detected optically, it is possible to localize any number of protein species (or other molecular classes) in a cell by using large tag libraries applied by an imaging robot (Appendix-Fig. 1). Since the tag molecules can diffuse freely through the cellular "water channels" and recognise their target molecules in the cell, we call this diffusion principle "Successive Ligand Aggregation Stable Diffusion Channelling (SLASDIC)". Using SLASDIC we address the toponome which is the entirety of proteins, protein complexes and protein networks traced out directly on the single cell level. It

⁴ Schubert, W. EJCB, 58, 395-410 (1992)



is held that the toponome enciphers the complete functional plan of a cell or a tissue. It is composed of highly non-random spatial assemblies of proteins, the functional toponome unit (FTU's). There are clear-cut rules for the local formation of FTU's in cells, which, when deciphered by TF at a large scale, unravel the hierarchies of proteins and directly lead to the detection of key target proteins. This functional organization of proteins is inaccessible when the cellular structures are destroyed, as for example by large scale expression profiling procedures.

All steps from the robotic generation of primary toponome data to the detection of functional toponome units follow highly standardized protocols and have been fully automated (Appendix-Fig. 2).

Data acquisition

Briefly, by a two-step water extraction of cells the proteins, protein complexes and protein networks in the cell are highly stabilized in situ. By this same procedure the cellular membranes are made penetrable for tag libraries, like antibody libraries. In most cases monoclonal antibody libraries are used to tag proteins within the cell. Each antibody of the library is conjugated to one specific dye, for example FITC. This dye-conjugated library is then calibrated according to antibody concentration, tissue or cell type of interest, and optical parameters of the robotic set up. All the following steps are fully automated and performed by the imaging cycler MELK (Multi-Epitope-Ligand-"Kartograph"). One single MELK robot (Appendix-Fig. 1) consists of a multi-pipette handling unit, a modified upright or inverse epifluorescence microscope, and a sensitive CCD imaging system. Multiple MELK robots can be assembled to cooperative networks for "massive parallel toponome screening". The device permits the automated running of Repetitive-Incubation-Imaging-Bleaching Cycles (RIIBC) on any type of biological sample, a single cell or thousands of cells simultaneously, or tissue sections. Briefly, a first dye-conjugated AB is incubated (Appendix-Fig. 1); after several washing steps the fluorescence image is registered and stored; the fluorescence signal is then destroyed by "soft" diffuse multi-wavelength excitation avoiding any energy transfer-induced alteration of the proteins in the cells; after registration of the resulting "endpoint" image (postbleaching image) the second cycle (RIIBC) is automatically started by applying the second dye-conjugated antibody, and so forth. RHBC can involve a large number of antibodies, clearly hundreds, to tag proteins in cells. The AB's applied to the sample remain bound in situ and, according to SLASDIC, do not hinder the binding kinetics of other antibodies applied thereafter. This can be proven by means of repetitive runs, when the antibodies are used at an undersaturated concentration. Appendix-Fig. 3 gives an example of repetitive runs using a library containing 18 different AB's against cell surface receptor proteins of lymphocytes. After run 1 the same AB library at the same AB concentration is applied a second (run 2) and a third time (run 3) to the same sample. As illustrated by direct comparison of the corresponding epitope-signals in the different runs (Appendix-Fig. 3, horizontal collection of images), the subcellular location of corresponding protein signals in run 2 and run 3 are identical, although the intensity of the signals decline from run 1 to run 3, as expected, according to the progressive antibody saturation of remaining free epitope binding sites. In order to illustrate the fact that a progressive "load" of the cell surface by antibodies applied in run 1 does not hinder the subcellular specificity of binding of AB applied in run 2, one cell denoted as cell 1 in Appendix-Fig. 3 is depicted. The cell surface of this cell shows 4 characteristic toponome units (TU) by differential abundances of different cell surface receptor molecules thereby uncover 4 different functional plasma membrane domains. Appendix-Fig. 4 shows the pixel plot of the antibody No. 1 of the depicted cell 1 in Appendix-Fig. 3. This antibody labels cell 1 in defined pixels in run 1 (Appendix-Fig. 4 red bars). The green and the blue bars illustrate the decline of the fluorescence intensity in run 2 and run 3, respectively, whilst the topological patterns of labelled pixels of the three runs are



identical. This indicates, quantitatively, that the AB No. 1 repetitively recognises the same subcellular sites substantiating the SLASDIC principle. It has been demonstrated by numerous internal protocols that SLASDIC is given in all human tissues and cell types examined so far, i.e. approx. 1000 human samples in the years 1990-1997.

Image Processing

The steps of image processing involve proprietary robust software tools for image correction, threshold setting, signal alignments, and object segmentation, finally leading to clearly defined objects, which can be segmented towards non-objects (i.e. background). Because of limited space these algorithms are not further detailed here. Briefly, alignment of signals is shown in Appendix-Fig. 5, providing the possibility to map cellular or subcellular toponomic fingerprints.

Data mining and nomenclature

a) Cellular and subcellular TF

Cellular TC (cTF) are schematically illustrated in Appendix-Fig. 5, c. They are defined as a characteristic collection of cellular combinatorial protein patterns (cCPP) composed of single fluorescence intensities, which correspond to single labelled proteins quantified as an integral over the cell. Typically, TF are highly specific for a cell type, a functional state of a cell (such as in disease) or a tissue. As illustrated in Appendix-Fig. 5c, these cCPP are highly heterogeneous, but this heterogeneity is non-random. By definition subcellular TF (sTF) are a characteristic collection of subcellular CPP (sCPP) containing protein clusters with improved spatial resolution. Subcellular TF are illustrated below for hepatocytes in culture (see biological example I).

b) Visualisation and quantification of toponomic fingerprints

Appendix-Fig. 6 illustrates schematically a simple visualisation method for TF comparing two different cell systems. Visualization of TF in two-dimensional plots (fingerprints) is a straight forward solution. All CPP's within the TF are expressed as a combinatorial binary code in which each protein is denoted as absent or present above a threshold level (0/1). A fixed "reading frame" (x-axis) contains all theoretically possible CPP's. The CPP as a binary code can be transformed into a decimal number on the x-axis. This decimal number is plotted against the frequency of the CPP's (y-axis) in the sample. The resulting TF contain all occurring CPP's as well as "silent areas", which indicate that the corresponding theoretical CPP's on the reading frame do not occur in the biological system measured. The combination of occurring CPP's and silent areas together make up the TF of a cell system. These TF pinpoint differences between treated and untreated biological samples, or between different cell systems or diseases. Note the differences of TF between cell system 1 and cell system 2 (Appendix-Fig. 6). Matching these TF reveals a difference map containing the CPP-Clusters, which are specific for the given cell system. By detailed analysis of these system-specific CPP-clusters one protein common to all CPP's within the cluster, is identified. This protein is called the "leading protein" (protein 1 in Appendix-Fig. 6). In our example this protein is inversely correlated with protein 2 (always absent) and variably associated with proteins 3 to n (wild cards). We call this constellation a CPP-motif. This CPP-motif represents the hierarchy of proteins, among which the leading protein is the key player (potential target protein). We have developed an algorithm called "motif-finder", which allows us to automatically identify CPP motifs with high statistical significance among different comparison groups, for example, control group and treatment groups. Assuming that MELK-



measurements were made of M treated and N untreated biological samples, the Data-Mining screens all possible motifs for significant differences. This is done by comparing the relative frequencies of a motif in the treatment group with the relative frequencies of the same motif in the control group using the standard student's t-test or the more robust Wilcoxon Sum Rank test. The output is a set of CPP-motifs characterizing the difference between the treatment and the control groups.

We have also developed in collaboration with external groups two further independent methods to detect highly significant functional linkages of topological associations of proteins. One approach we call "Subset Surprisology" (research group around A. Dress, Univ. of Bielefeld). The other algorithm is based upon a specific artificial neuronal architecture (research group around T. Nattkemper and H. Ritter, Univ. of Bielefeld).



Biological example I

Two-dimensional toponomic fingerprinting of Hepatocytes in culture

This example illustrates a 2D-toponomic fingerprinting approach to hepatocytes in culture with subcellular resolution. Control cells and treated cells are compared. We have mapped simultaneously 23 different proteins present in different compartments of the cells. Out of a large data set we illustrate here the distribution of the 30 most frequent sCPP's coded in different colours. Appendix-Fig. 7 gives the list of the most frequent sCPP's out of 105.732 occurring CPP's in the control group and 82.560 CPP's occurring in the treated cells. Note that the simultaneous mapping of 23 proteins at binary coding (0/1) comprises a theoretical possible maximum number of 8.388.608 CPP's. Hence the present toponome data set reveals 1.26 % and 0.98 % of the maximum number of CPP's in the control cells and the treated cells, respectively. Appendix-Fig. 8 shows the comparison of control and treated cells demonstrating substantial quantitative and topological differences between these two groups. By "massive parallel toponomic fingerprinting" the differences can be precisely quantified by TF-line plots (not shown here). Appendix-Fig. 9 gives a detail of the control cells. Appendix-Fig. 10 gives two details of the treated cells. Appendix-Fig. 9 illustrates precise subcellular landmarks of, for example, the outer surface and the more internal parts of the plasma membrane of a control cell outlined by protein P 19 (glycocalix) and P1 + P19 (unit membrane). By contrast Appendix-Fig. 10 (upper image) representing treated cells, illustrates the molecular architecture of the plasma membrane of a cell extension (treated cell), which is different to the untreated cells by showing solely protein P1, but absence of protein P19. This indicates selective absence of specific glycocalix-substructures at the latter sites. Appendix-Fig. 10, lower image, illustrates simultaneously the clustering of proteins P1 + P19, and P1 + P10 present at the tip of growth cones formed by cells under the influence of treatment. This latter event is due to abnormal translocation of unrelated proteins (specific treatment response).





- Biological examples II

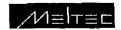
Three-dimensional mapping of toponomic subcellular "landmarks" of hepatocytes in culture as a basis for subcellular mapping of functional protein complexes and their annotation to compartments

The Appendix-Fig. 11 through Appendix-Fig. 16 give defined steps of the generation of 3D images of six simultaneous subcellular "landmark" molecules in hepatocytes. These landmarks, which can principally be extended to dozens of more landmark molecules, are the basis for "reading in" hepatocyte-specific protein complexes in defined subcellular sites. These landmarks form the basis for the deciphering of the hepatocellular toponome. Technically, the present example shows the ability to map intracellular as well as surface marker molecules simultaneously: Appendix-Fig. 11 gives the overview; Appendix-Fig. 12 shows the optical section through the intracellular distribution patterns of 6 subcellular markers. Appendix-Fig. 13 shows one single optical section illustrating a deconvolved image of each single marker molecule-pattern; Appendix-Fig. 14 gives the 3D composition of the whole stack (Appendix-Fig. 12). The Appendix-Fig. 15 and 16 give two sectional views of the 3D image.

Biological example III

Three-dimensional toponomic fingerprinting to detect protein-complexes in tissue sections of the spinal cord (results of a feasibility study to map protein complexes in defined subcellular sites)

The Appendix-Fig. 17 and 18 substantiate the principle ability of TF to detect protein complexes in situ. The example shows the simultaneous mapping of thousands of single synapses in the spinal cord. Appendix-Fig. 18 shows a detail of Appendix-Fig. 17 and directly denotes presynaptic and postsynaptic protein patterns. The postsynaptic protein complexes are formed by NMDA and NMDA-related protein families. Note that this approach can involve at least hundred proteins simultaneously enabling the analysis of the local rules specifying synaptic "qualities". This approach is a powerful tool in conjugation with biological experiments. This successful feasibility study provides strong evidence for the ability of TF to map protein networks on the single cell level in situ. We expect that these experiences to be applicable to thorough mapping the hepatocellular toponome applying selected tag libraries.



Methods

Peripheral blood nuclear cells (MNC) were collected from venous blood of 7 healthy individuals of 7 healthy individuals and from 9 sporadic ALS patients using Ficole-gradient isolation procedures. Cells were than diluted to a concentration of 3.5×10^6 cells in PBS, placed on cover slips and subjected to a two step water extraction procedure in order to stabilize the cellular proteins.

The cells were measured in the MELK robots using proprietary protocols which guarantee high reproducibility of the results.

Image Processing 2D

Raw images of every run underwent evaluation by a scientist to ensure that the run meets MelTec's quality standards before entering into image processing. Image processing starts with image correction. It mainly consists of background correction and normalisation of the dynamic range of intensities.





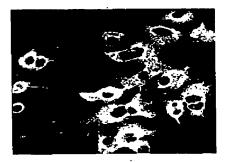
Fig. 3 Fluorescence image before and after corrections. Illustration of control cells

A pre-requisite to generating toponome fingerprints is designating a binary code to each marker for each pixel where:

- 1 indicates that the marker is present above the threshold level
- 0 indicates that the marker is not present above the threshold level

The threshold is set by MelTec's threshold algorithm, which is based on robust statistical properties of the images. The method ensures threshold above the noise floor of the image. It is possible to choose different threshold levels.





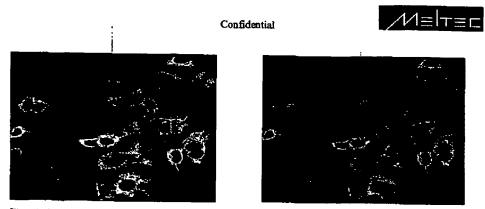


Fig. 4 Different threshold levels: Fluorescence image (top left), Binary images: low threshold (top right), medium threshold (bottom left), high threshold (bottom right).

In a last step of image processing, areas of interest are defined using markers, which are specific for different compartments (e.g. nuclei, mitochondria, cytoplasm etc.), and advanced image segmentation algorithms.



Fig. 5 Segmented image (white: background, yellow: cytoplasm, black: nuclei).

Image Processing 3D

For 3D-imaging of ALS and control MNC z-stacks of 8 consecutive sections (500nm layer) for each marker have been obtained. The 500nm steps were overlapping. The images have been processed as described under "Image Processing 2D". Additionally all images have been corrected for the bleaching effect and underwent deconvolution.

From Images to CPPs and CPP-Motifs

With a binary code, cells can theoretically combine the n screened markers in 2^n different combinatorial protein patterns (CPP). An example of a binary code (CPP) is shown in the following table:

| | Marker 1 | Marker 2 | Marker 3 | | Marker N |
|-------------|----------|----------|----------|---------|----------|
| | Yes | No | Yes | | Yes |
| 新新教育 | 1 | 0 | 1 | | 1 |

Table 1 From threshold to binary codes.



All pixels of a run or a set of runs are analysed concerning their specific CPP (s-CPP). Then the s-CPPs can be summarized in a code table, by listing the specific binary codes (s-CPPs) and their absolute or relative frequency.

Code-Table of one Run:

| | | | | | | | | | The second second second | | |
|-------|---|---|---|---|---|---|---|---|--------------------------|---|------|
| s-CPP | 1 | 1 | 1 | 1 | 0 | 0 | 1 | 1 | 0 | 1 | 4031 |
| s-CPP | 1 | 0 | 1 | 1 | 0 | 0 | 1 | 1 | 0 | 1 | 2738 |
| | | | İ | | | | 7 | | | | |
| s-CPP | 1 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 40 |

Table 2 Code table of one run.

In order to find relevant protein combinations, MelTec screens the s-CPPs for a common denominator, a so called CPP-motif. For example, the motif '01**' denotes all combinations where marker 1 is absent (0) and marker 2 is present (1), while each of the other two markers can be present or absent (*). As for CPPs, CPP-motifs can be counted and used for statistical analysis comparing a control with a treatment group. The steps from CCPs to CPP-motifs have been summarized in Figure 6.

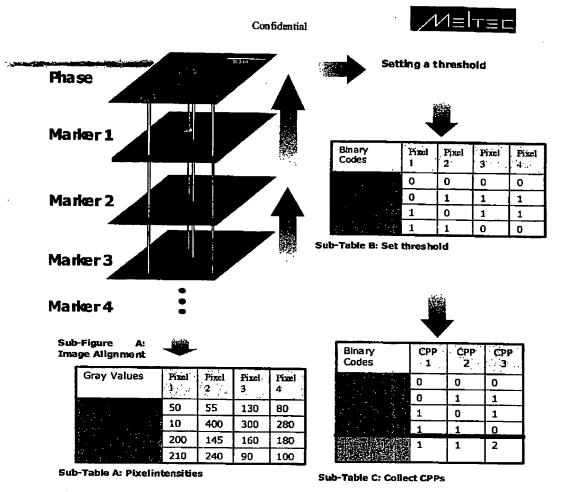


Fig. 6 Steps from image to CPP

Motif-Finder

To statistically analyse the effect of a disease on the cell surface protein toponome, the relative frequencies of CPP-motifs in control and disease groups are compared using the standard student's t-test or the more robust Wilcoxon Rank Sum test. The output of the data-mining phase is a set of CPP-motifs that characterizes the difference between the treatment and the control group.

Quality of Motifs

Two groups of motifs can be distinguished:

- 1. Motifs that separate the groups, e.g. control and treatment, in a paired test (lower quality)
- 2. Motifs that separate the groups in paired as well as in an unpaired test (higher quality).



Motifs, which are strongly affectedor newly generated in a disease, will show a statistically significant change in frequency in the paired and the unpaired test. For motifs, which are more subtly altered, differences between disease and control group can only be detected using a paired testing.

Visualisation of Results

Box plots and response plots are used to visualize results and are described below.

Box plots

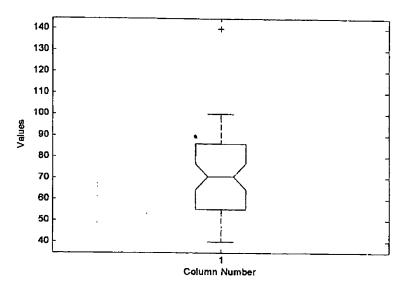


Fig. 7 Box plot.

The graph shows an example of a notched box plot. It is composed of several graphic elements:

- 1) The lower and upper lines of the "box" are the 25th and 75th percentiles of the sample. The distance between the top and bottom of the box is the interquartile range.
- The line in the middle of the box is the sample median. If the median is not centered in the box, that is an indication of skewness.
- 3) The "whiskers" are lines extending above and below the box. They show the extent of the rest of the sample (unless there are outliers). Assuming no outliers, the maximum of the sample is the top of the upper whisker. The minimum of the sample is the bottom of the lower whisker. The plus sign at the top of the plot indicates data points, which are more than 1.5 times the interquartile range away from the top or bottom of the box.
- 4) The notches in the box are a graphic confidence interval about the median of a sample.



Results: abnormal cell surface protein cluster in ALS

Identification of abnormal protein clusters on the cell surface of peripheral mononuclear cells in ALS

In order to analyse the cell surface differentiation status of peripheral blood mononuclear cells, we have used the MELK robotic approach (US-Patent, 6.150.173, 2000). This study was motivated by preliminary data suggesting that abnormal cellular phenotypes of the immune system might be present in the blood of ALS.

Application of the MELK technology included the use of a library of 18 different monoclonal antibodies directly conjugated to a dye (FITC) as outlined in the method section. These antibodies were all directed against well characterized cell surface proteins, most of them belonging to cell surface adhesion receptors and cell surface proteolytic enzymes. Table 3 gives the summary of these molecules and the specification of the monoclonal antibody library.

Tab. 3: Specification of proteins

| protein (p), lectin (l) | Specification | | | | | |
|----------------------------|--|--|--|--|--|--|
| CD2 (p) | SRBC receptor, ligand for LFA-3 | | | | | |
| CD3 (p) | CD3 complex associated with T cell antigen receptor TCR | | | | | |
| CD4 (p) | co-recognition receptor for MHC class II with TCR | | | | | |
| CD7 (p) | Fc receptor for IgM "FcµR" | | | | | |
| CD8 (p) | co-recognition receptor for MHC class I with TCR | | | | | |
| CD11b (p) | αM integrin chain of MAC-1 complex | | | | | |
| CD16 (p) | Fcy RIII receptor for selective binding of IgG1 and IgG3 | | | | | |
| CD19 | B-cell receptor | | | | | |
| CD26 | Dipeptidylpeptidase IV, Collagen receptor | | | | | |
| CD36 (p) | GP IV, collagen receptor | | | | | |
| CD38 (p) | gp 45 receptor involved in leucocyte activation | | | | | |
| CD45RA (p) | restricted leucocyte common antigen isoform containing at least exon A | | | | | |
| CD56 (p) | neural cell adhesion molecule (NCAM) | | | | | |
| CD57 (p) | HNK-1 receptor | | | | | |
| CD62L (p) | L-selectin | | | | | |
| CD71 (p) | transferrin receptor | | | | | |
| HLA-DR (p) | MHC class II receptor | | | | | |
| HLA-DQ (p) | MHC class II receptor | | | | | |

ALAF MUC



We studied blood samples from 9 patients with the sporadic form of ALS and from 7 healthy individuals.

To analyse the cell surface Toponome data of 18 different cell surface proteins we have used a 2D and a 3D approach. In the 2D approach the cells were visualized in the 2D mode of the MELK-robotic set up. These cells were then quantified using proprietary pattern recognitionalgorithms including our motif-finder (see method section). The CPP motif-finder algorithm is a powerful data mining tool to find abnormal protein clusters in diseases.

Principally, on the level of 18 different cell surface proteins, a maximum number of possible protein combinations assembled as motifs of 3 ¹⁸ (387 Mio.) can be expected, when each protein is captured as a binary value above a threshold [1/0] and wild card (*). In our data set we found 140 really occurring and highly specific CPP motifs out of 387 Mio. possible motifs (p<0.025).

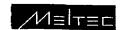
Table 4 gives the total list of ALS-specific motifs, i.e. abnormal cell surface protein clusters in ALS related to most significant abnormal cell surface protein clusters in ALS.

Table 4

| Decimal code | Proteins | Motif | Base Motif ⁱ | P-Value | MV-ALS | MV-Contr | MVT - MVC | Ratio (MVT MVC) |
|-----------------|------------------------------|---|---|-------------|--------|----------|--------------|--------------------|
| 3 15 | hia-dr+ cd11b- | 1 | *************** | 0.012452262 | 0.0479 | 0,0312 | 0.0168 | 1.5321 |
| 5 13 | cd3- cd62i+ | 01 | ****************** | 0.016641101 | 0.0453 | 0.0284 | 0.0170 | 1.5993 |
| 13 18 | cd62i+ cd2- | | ************* | 0.020480801 | 0,0416 | 0.0260 | 0.0155 | 1.5982 |
| 15 16 | cd11b- cd36+ | **************** | ***************** | 0.010956047 | 0.0387 | 0.0144 | 0.0243 | 2.6854 |
| 1 9 15 | cd4+ cd16+ cd11b- | 110 | *********** | 0.002827836 | 0,0017 | 0.0004 | 0.0014 | 4.7657 |
| 1 12 13 | cd4- cd7- cd82 + | 001 | ****** | 0.018193537 | 0.0208 | 0.0100 | 0.0106 | 2.0869 |
| 239 | cd8+ hla-dr+ cd16+ | 411************************************ | ***** | 0.020178040 | 0.0003 | 0.0001 | 0.0002 | 3.1030 |
| 348 | hia-dr+ hia-dq- cd45ra+ | 2-10 10 | *************** | 0.023331877 | 0.0097 | 0.0043 | 0.0054 | 2,2498 |
| 3 4 13 | hla-dr+ hla-dq- cd62l+ | **10 | ************* | 0.020299597 | 0.0170 | 0.0077 | 0.0093 | 2.2178 |
| 3 7 13 | hla-dr+ cd38- cd62l+ | and made have been been | ******** | 0.024286226 | 0.0139 | 0.0087 | 0.0052 | 1.6035 |
| 3 9 12 | hla-dr+ cd16+ cd7+ | | ***************** | 0.021000921 | 0,0010 | 0.0003 | 0.0007 | 3.2748 |
| 3 12 13 | hia-dr+ cd7- cd82l+ | 101 | **************** | 0.017349630 | 0.0184 | 0.0098 | 0.0088 | 1.9170 |
| 3 13 14 | hta-dr+ cd62l+ cd71- | *-1 *10******** | *********** | 0.016570815 | 0.0299 | 0.0175 | 0.0124 | 1.7058 |
| 4 9 15 | Na-dq+ cd16+ cd11b- | 1 | ******* | 0.022759490 | 0.0009 | 0.0003 | 0.0007 | 3.2951 |
| 4 10 11 | hla-dq- od57+ cd66- | 010 | ************* | 0.024400031 | 0.0097 | 0.0045 | 0.0052 | 2.1371 |
| 4 10 15 | hta-dq- cd67+ cd11b- | uneChancus I anna Chancana | ****** | 0.019083918 | 0.0107 | 0.0049 | 0.0058 | 2.1912 |
| 5 13 18 | cd3- cd82+ cd2- | 01 | ******* | 0.003250799 | 0.0325 | 0.0169 | 0,0156 | 1.9200 |
| 6916 | cd28- cd18+ cd38+ | 11 | ****************** | 0.019587099 | 0.0011 | 0.0002 | 0.0008 | 4.9144 |
| 6 10 13 | cd28- cd57+ cd62I+ | 011 | **************** | 0.016385276 | 0.0024 | 0.0009 | 0.0015 | 2.7899 |
| 6 12 13 | cd28- cd7- cd82i+ | 0 | ************** | 0.020904358 | 0.0246 | 0.0132 | 0.0115 | 1.8711 |
| 6 13 18 | od28- od82l+ od38+ | 011 | ************** | 0.014560311 | 0.0127 | 0.0054 | 0.0073 | 2.3584 |
| 7 18 18 | cd38+ cd36+ cd2- | | **************** | 0.009741419 | 0.0253 | 0.0095 | 0.0158 | 2.6596 |
| 9 13 16 | od18+ od62l- od36+ | 101 | **************** | 0.017258974 | 0.0008 | 0.0001 | 0.0008 | 5.4058 |
| 12 13 16 | od7- od62#+ od38+ | 101-11 | ******** | 0.018394408 | 0.0121 | 0.0041 | 0.0080 | 2.9473 |
| 13 14 16 | cd62i+ cd71- cd36+ | 10-1 | ************** | 0.012086278 | 0.0259 | 0.0138 | 0.0124 | 1.9100 |
| 13 16 18 | cdBZi+ cd38+ cd2- | *************************************** | ************* | 0.001335069 | 0.0165 | 0.0060 | 0.0105 | 2.7582 |
| 1 9 12 13 | cd4- cd18- cd7- cd82+ | 0001 | | 0.017087165 | 0.0203 | 0.0097 | 0.0108 | 2.0962 |
| 3 4 9 13 | hta-dr+ hta-dq- cd18- cd82i+ | -1001 | *************************************** | 0.021592519 | 0.0168 | 0.0078 | 0.0092 | 2.1984 |
| 3 9 12 13 | hta-dr+ cd16- cd7- cd821+ | -10-01 | ******* | 0.017821973 | 0.0183 | 0.0096 | 0.0087 | 1,9063 |
| 3 9 13 14 | hla-dr+ cd16- cd62l+ cd71- | 1010 | *************************************** | 0.017523442 | 0.0298 | 0.0175 | 0.0121 | 1,6949 |
| 5 9 13 1B | cd3- cd16- cd62I+ cd2- | 0010 | 0 | 0.002937682 | 0.0317 | 0.0165 | 0.0153 | 1.9278 |

:

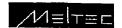
Confidential



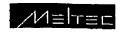
| | 6 9 12 13 | od26- cd16- cd7- cd621+ | 0001 | 0 | 0.018969804 | 0.0242 | 0.0129 | 0.0114 | 1.6836 |
|---|------------|--|---|---|-------------|--------|--------|---------|---------|
| • | 6 9 13 16 | cd28- cd16- cd62l+ cd36+ | ****************** | | 0.014839165 | 0.0123 | 0.0053 | 0.0071 | 2.3330 |
| | 7 9 10 18 | cd38+ cd16- cd38+ cd2- | | ······································ | 0.009188069 | 0.0250 | 0,0095 | 0.0156 | 2.6425 |
| | 9 12 13 16 | cd16- cd7- cd62!+ cd36+ | *************************************** | | 0.017936126 | 0.0120 | 0.0041 | 0.0079 | 2.9410 |
| | 9 13 14 16 | cd18- cd82l+ cd71- cd36+ | 010-1 | | 0.013138948 | 0.0258 | 0.0135 | 0.0121 | 1.8922 |
| | 9 13 16 18 | cd18- cd82l+ cd38+ cd2- | 0-1-1-0 | ········ | 0.001155720 | 0.0163 | 0.0059 | 0.0104 | 2.7756 |
| | 1 2 9 18 | cd4- cd8- cd16+ cd2- | 00 | - | 0.024458071 | 0.2475 | 0.5740 | -0.3265 | 2.3193 |
| | 191118 | od4+ cd18+ cd56- cd2- | 100 | | 0.024454999 | 0.2969 | 0.0747 | 0.2242 | 3.0999 |
| | 24915 | cd8+ hta-dq- cd16+ cd11b- | *1-010 | | 0.016171248 | 0.1248 | 0.0145 | 0.1103 | 9,6099 |
| | 291015 | cd8- cd18+ cd57+ cd11b- | -0 | ******************************* | 0.021976712 | 0.0908 | 0.0258 | 0.0848 | 3.5161 |
| | 45915 | Ma-dq- cd3+ cd16+ cd11b- | | *************************************** | 0.011103845 | 0.1588 | 0.0423 | 0.1165 | 3.7545 |
| | 4 9 10 15 | Na-dq- cd18+ cd57+ cd11b- | *************************************** | - | 0.020321330 | 0.0945 | 0.0194 | 0.0751 | 4.8781 |
| | 4 9 15 16 | Ma-dq- cd16+ cd11b- cd36+ | | ******** | 0.018569661 | 0.0874 | 0.0139 | 0.0735 | 6.2746 |
| | 56915 | cd3+ cd26- cd16+ cd11b- | | ******** | 0.016358678 | 0,1289 | 0.0375 | 0.0914 | 3.4351 |
| | 5 9 13 15 | cd3+ cd16+ cd62I- cd11b- | | ***************** | 0.024449522 | 0.1059 | 0.0223 | 0.0835 | 4.7411 |
| | 5 B 15 16 | cd3- cd16+ cd11b- cd36+ | *************** | *************************************** | 0.009763943 | 0.1369 | 0.0227 | 0.1142 | 6,0325 |
| | 8 8 9 15 | cd28- cd45ra+ cd16+ cd11b- | *************************************** | | 0.002636177 | 0.5581 | 0.1788 | 0.3793 | 3.1455 |
| | 6 9 10 15 | cd28- cd16+ cd57+ cd11b- | ********************** | *************************************** | 0.020100177 | 0.1019 | 0.0201 | 0.0723 | 5.0767 |
| | 6 9 12 15 | cd28- cd18+ cd7+ cd11b- | *************************************** | ******** | 0.002583635 | 0.4320 | 0.1213 | 0.3107 | 3.5818 |
| | 6 9 14 15 | cd28- cd16+ cd71+ cd11b- | *************************************** | * | 0.020404565 | 0.2243 | 0.0222 | 0.2021 | 10.1102 |
| | 6 9 15 16 | cd26- cd16+ cd11b- cd36+ | *************************************** | * | 0.014359531 | 0.1483 | 0.0121 | 0.1382 | 12.2865 |
| | 6 9 15 18 | cd26- cd16+ cd11b- cd2+ | | | 0.013424308 | 0.2087 | 0.0454 | 0.1502 | 4,5589 |
| | 9 12 13 15 | cd16+ cd7+ cd621- cd11b- | 10.0 | *************************************** | 0.014487324 | 0.3452 | 0.1375 | 0.2077 | 2.5109 |
| | 9 12 15 16 | cd16+ cd7- cd11b- cd36+ | | ************ | 0.020848693 | 0.0861 | 0.0012 | 0.2077 | 69,4071 |
| | 9 13 15 18 | cd16+ cd62 - cd11b+ cd2+ | | | 0.022828827 | 0.0039 | 0.0801 | -0.0762 | 20,5719 |
| | 9 14 15 16 | cd16+ cd71- cd11b- cd36+ | | * | 0.009119507 | 0.1270 | 0.0132 | 0.1139 | 9.6454 |
| | 9 15 16 18 | cd16+ cd11b- cd36+ cd2- | | *************************************** | 0.023778212 | 0.1270 | 0.0102 | 0.1209 | 12,8610 |
| | 37816 | hla-dr- cd38+ cd45ra- cd38+ | 0101 | .0 | 0.010738688 | 0.0074 | 0.0025 | 0.0049 | 2.9709 |
| | 3 7 12 16 | hla-dr- cd38+ cd7- cd38+ | <u></u> | *************************************** | 0.012943020 | 0.0056 | 0.0015 | 0.0048 | 3.6049 |
| | 3 8 13 16 | Na-dr- cd45ra- cd82H cd36+ | | | 0.011643894 | 0.0073 | 0.0032 | 0.0041 | 2,2658 |
| | 3 9 13 16 | hia-dr- cd16+ cd82i- cd36+ | ***************** | *************** | 0.018558566 | 0.0003 | 0.0001 | 0.0002 | 4.0206 |
| | 3 12 13 16 | hla-dr- cd7- od82i+ cd36+ | | | 0.008909445 | 0.0044 | 0.0012 | 0.0032 | 3.6049 |
| | 1 3 8 9 15 | cd4- hla-dr- cd45ra+ cd16+ cd11b- | A0000004 4 0000000000000000000000000000 | | | | | | |
| | 234915 | | 0-0110 | | 0.021137247 | 0.4364 | 0.1873 | 0.2492 | 2.3306 |
| | | cd8+ hla-dr- hla-dq- cd16+ cd11b- hla-dr- hla-dq- cd45ra+ cd18+ | -10010 | | 0.023358852 | 0.1779 | 0.0205 | 0.1574 | 8.8603 |
| | 348915 | cd11b- | | *************************************** | 0.004077609 | 0.5168 | 0.1461 | 0.3707 | 3.5366 |
| | 3491215 | Ma-dr- hla-dq- cd18+ cd7+ cd11b- | ********** | | 0.008953398 | 0.5078 | 0.1313 | 0.3762 | 3.8642 |
| | 3491518 | hla-dr- hla-dq- cd16+ cd11b- cd2+ hla-dr- cd28- cd45ra+ cd16+ | 00101 | 01 | 0.004543777 | 0.3677 | 0.0829 | 0.2848 | 4.4341 |
| | 366914 | cd71- | esGesGa-1 1-see-Gesessans | *************************************** | 0.021311217 | 0.6184 | 0.2298 | 0.2886 | 2.2559 |
| | 368915 | hla-dr- cd25- cd45ra+ cd18+ cd11b- | -00-110 | ««Ozersel sessessesses | 0.004204957 | 0.5169 | 0.1574 | 0.3595 | 3.2837 |
| | 3691518 | Ma-dr- cd28- cd18+ cd11b- cd2+ | | *************************************** | 0.005771116 | 0.2953 | 0.0692 | 0.2361 | 4.9874 |
| | 3891415 | hia-dr- od45ra+ od16+ cd71- cd11b- | ···0·····11==00 | and parameters | | | | | |
| | 39101518 | | | • | 0.005914381 | 0.4689 | 0.1723 | 0.2967 | 2.7223 |
| | 39131518 | Na-dr- cd18+ cd57+ cd11b+ cd2+ | | | 0.022671758 | 0.0058 | 0.0768 | -0.0710 | |
| | 39131518 | hla-dr- cd16+ cd621- cd11b+ cd2+ | | <u></u> | 0.023644883 | 0.0048 | 0.0891 | -0.0843 | 18,5881 |
| | 1379 | | 0 | | 0.016401998 | 0.3048 | 0.0872 | 0.2175 | 3.4941 |
| | | cd4+ hla-dr+ cd38- cd16+ | 1-10-1 | Prof Marinessoussessesses | 0.021057658 | 0.0111 | 0.0035 | 0.0075 | 3.1320 |
| ! | 13913 | cd4+ hia-dr+ cd16+ cd62i- | 1,1,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | 401 | 0.024873506 | 0.0115 | 0.0038 | 0.0078 | 3.0721 |
| | 13915 | cd4+ his-dr+ cd16+ cd11b- | 1-1 | | 0.000763318 | 0.0141 | 0.0017 | 0.0124 | 8.2809 |
| | 231314 | cd8- hla-dr+ cd82l- cd71+ | .01 | | 0.017962464 | 0.0210 | 0.0609 | -0.0399 | 2.9030 |
| | 34810 | hla-dr+ hla-dq- cd28- cd57+ | -10-01 | | 0.006148503 | 0.0102 | 0.0034 | 0.0089 | 3.0390 |
| | 34710 | hla-dr+ hla-dq- cd38- cd57+ | 210-0-1 | 1 | 0.018170558 | 0.0078 | 0.0017 | 0.0081 | 4.6818 |
| | 3 4 9 13 | hia-dr+ hia-dq- cd18+ cd82l+ | 10 | -1 | 0.023279293 | 0.0049 | 0.0006 | 0.0043 | 8.0229 |

Charles with a first

Confidential



| 3 4 10 18 | hla-dr+ hla-dq- cd57+ cd2- | 1010 | | 0.010406324 | 0.0069 | 0.0014 | 0.0056 | 5.1099 |
|---------------------------|---|---|---|-------------|------------------|--------|---------|---------------------|
| 3 4 12 13 | hla-dr+ hla-dq- cd7- cd82l+ | -100 1 | -1 | 0.018389988 | 0.1594 | 0.0568 | 0.1026 | 2.8068 |
| 36814 | hia-dr+ cd28- cd45ra- cd71+ | 10-01 | *1************************************* | 0.013552859 | 0.0095 | 0.0224 | -0.0129 | 2.3605 |
| 36914 | hla-dr+ cd28- cd18+ cd71+ | | ·4···· | 0.010376923 | 0.0076 | 0.0016 | 0.0058 | 4.5838 |
| 3 6 10 13 | hta-dr+ cd28- cd57+ cd821+ | | ~1 ~~~~~~~ | 0.008224371 | 0.0077 | 0.0024 | 0.0053 | 3.1931 |
| 3 7 14 16 | hla-dr+ cd38+ cd71- cd38+ | 424 0441 424214Oct 422301 | | 0.018413964 | 0.3539 | 0.2157 | 0.1382 | 1.6406 |
| 371818 | hla-dr+ cd38+ cd38+ cd2- | 111-0 | | 0.005860904 | 0.2550 | 0.1202 | 0.1349 | 2,1220 |
| 38914 | hla-dr+ cd45ra- cd16+ cd71+ | | ~1 ~~~~~~~ | 0.016710778 | 0.0008 | 0.0001 | 0.0007 | 7.1176 |
| 3 8 13 14 | hta-dr+ cd45ra- cd82l- cd71+ | | | 0.011848945 | 0.0077 | 0.0268 | -0.0191 | 3.4873 · |
| 3 P 12 15 | hla-dr+ cd18+ cd7+ cd11b- | | | 0.002670709 | 0.0120 | 0.0015 | 0.0105 | 8.0658 |
| 3 9 14 18 | hla-dr+ cd18+ cd71+ cd2- | | ••1••••• | 0.011590973 | 0.0069 | 0.0019 | 0.0050 | 3,5878 |
| 391516 | hla-dr+ cd16+ cd11b- cd36+ | | ••1 | 0.003518084 | 0.0094 | 0.0000 | 0.0094 | 215.4480 |
| 3 12 13 18 | hla-dr+ cd7- cd62I+ cd36+ | 101{ | | 0.017269740 | 0.1148 | 0.0389 | 0.0747 | 2.8742 |
| 3 12 13 17 | hla-dr+ cd7- cd62H cd19- | 110101 | **1***************** | 0.007420254 | 0.2286 | 0.1263 | 0.1023 | 1.8100 |
| 3 12 15 16 | hla-dr+ cd7- cd11b- cd38+ | ************************ | ~1 ~~~~~ | 0.013106188 | 0.1413 | 0.0410 | 0.1003 | 3.4498 |
| 3 13 14 16 | hla-dr+ cd82l+ cd71- cd38+ | | | 0.004409924 | 0.2375 | 0.1267 | 0.1109 | 1.8753 |
| 3 13 16 18 | hta-dr+ cd82l+ cd38+ cd2- | | **1 | 0.002135838 | 0.1658 | 0.0579 | 0.1079 | 2.8840 |
| 3 13 17 18 | hla-dr+ cd62 + cd19- cd2- | **1*******1****00**** | 1 | 0.019218581 | 0.2972 | 0.1749 | 0.1222 | 1.6989 |
| 3 15 16 18 | hia-dr+ cd11b- cd38+ cd2- | | ••1•••••• | 0.018930961 | 0.1946 | 0.0583 | 0.1383 | 3.3354 |
| 236914 | cd8- tria-dr+ cd26- cd16- cd71+ | -01-000-10- | 10 | 0.022963473 | 0.0227 | 0.0436 | -0.0209 | 1.0199 |
| 2391314 | od8- hie-dr+ cd16- cd82l- cd71+ | *01************ | **1************** | 0.010827838 | 0.0184 | 0.0800 | -0.0435 | 3. 0 548 |
| 347910 | Na-dr+ hta-dq- cd38- cd16- cd57+ | *10**0*01****************************** | | 0.017486384 | 0.0079 | 0.0017 | 0.0063 | 4.7448 |
| 3 4 9 12 13 | hia-dr+ hia-dq- cd16- cd7- cd62+ | 10001 | 10 | 0.018887939 | 0.1634 | 0.0574 | 0.1080 | 2.8468 |
| 368914 | hla-dr+ cd28- cd45ra- cd18- cd71+ | **1**0**00****1******** | *** | 0.011288839 | 0.0091 | 0.0225 | -0.0134 | 2.4715 |
| 3691314 | hla-dr+ cd26- cd16- cd52l- cd71+ | | *************************************** | 0.013229875 | 0.0135 | 0.0269 | -0.0134 | 1.9978 |
| 3791416 | Ma-dr+ cd36+ cd18- cd71- cd36+ | | windermanner. | 0.017998477 | 0.3603 | 0.2181 | 0.1421 | 1.6517 |
| 3791618 | hia-dr+ cd38+ cd16- cd36+ cd2- | | ************** | 0.005251888 | 0.2601 | 0.1212 | 0.1389 | 2.1452 |
| | hia-dr+ cd45ra- cd16- cd62i- | | | | | | | |
| 3891314 | cd71+ | -10001 | **1 *********************************** | 0.010365361 | 0.0073 | 0.0271 | -0.0198 | 3.7277 |
| 3 9 10 13 14 | hla-dr+ cd16- cd57- cd621- cd71+ | 201 | | 0.022077938 | 0.0353 | 0.0787 | -0.0414 | 2.1751 |
| 3 9 12 13 16 | his-dr+ cd16- cd7- cd62l+ cd36+ | | ************************************** | 0.017269898 | 0.1170 | 0.0405 | 0.0765 | 2.8987 |
| 3 9 12 13 17 | hia-dr+ cd18- cd7- cd62i+ cd19- | -10-010 | | 0.007378415 | 0.2340 | 0.1278 | 0.1062 | 1,8310 |
| 39 12 15 16 | hia-dr+ cd16- cd7- cd11b- cd36+ | 100-1 | *-10 | 0.015190868 | 0.1414 | 0.0413 | 0.1002 | 3.4275 |
| | hla-dr+ cd16- cd62i- cd71+ cd36- | entannound tot same | | 0.023365941 | 0.0218 | 0.0363 | -0.0145 | 1.6844 |
| 3 9 13 14 16 3 9 13 16 18 | hla-dr+ cd16- cd62H cd71- cd36+ hla-dr+ cd16- cd62H cd36+ cd2- | | ' ' | 0.004301036 | 0.2413 | 0.1281 | 0.1132 | 1.8840 |
| 39 13 17 18 | hia-dr+ cd16- cd62i+ cd19- cd2- | *************************************** | **1***************** | 0.001918288 | 0.1691 | 0.0883 | 0,1108 | 2.9019 |
| 39151718 | his-dr+ cd16- cd11b- cd36+ cd2- | and annual control downs | | 0.016790489 | 0.3023 0.1947 | 0.1759 | 0.1265 | 1.7190 |
| 13459 | | | | 0.021666412 | | 0.0589 | 0.1359 | 3.3085 |
| | cd4+ hla-dr+ hts-dq- cd3- cd16+ cd4+ hla-dr+ hts-dq- cd16+ | 1-1001 | eri satusi saturattamas | 0.021281551 | 0.1289 | 0.0389 | 0.0900 | 3.3112 |
| 134913 | cd82l+ | 1-1011 | -11 | 0.020781635 | 0.0855 | 0.0157 | 0.0499 | 4.1782 |
| 134917 | cd4+ hla-dr+ hla-dq- cd16+ cd19- | | | 0.021504814 | 0.1631 | 0.0478 | 0.1153 | 3.4112 |
| 13589 | od4+ hla-dr+ od3+ od45ra- od16+ | | **1************************************ | 0.014439827 | 0.0260 | 0.0038 | 0.0243 | 7.4339 |
| 136918 | cd4- hla-dr+ cd26- cd16+ cd38- | 0-1-0-10 | -11 | 0.022946238 | 0.2225 | 0.5968 | -0.3733 | 2.6773 |
| 137915 | cd4+ hla-dr+ cd38+ cd10+ cd11b- | | **1 ******************** | 0.002071532 | 0.1189 | 0.0220 | 0,0950 | 5.3194 |
| 1391018 | cd4- hla-dr+ cd16+ cd57- cd38- | 0-1100 | | 0.018828143 | 0.1856 | 0.6199 | -0.4344 | 3.3410 |
| 238918 | cd8- hts-dr+ cd45rs- cd16+ cd2+ | | | 0.014502942 | 0.0426 | 0.0061 | 0.0364 | 6.9321 |
| 2391215 | cd8- hta-dr+ cd10+ cd7+ cd11b- | 401110 | and amount a second second | 0.002143373 | 0.2801 | 0.0370 | 0.2432 | 7.5798 |
| 2391315 | cd8- file-dr+ cd18+ cd82+ cd11b- | | **1***************** | 0.007675771 | 0.2083 | 0.0144 | 0.1939 | 14.4727 |
| 2 3 9 15 18 | cd8- hla-dr+ cd16+ cd11b- cd2+ hla-dr+ hla-dq- cd3+ cd45ra- | 401 | *************************************** | 0.020476189 | 0.1532 | 0.0198 | 0.1394 | 7.7337 |
| 34589 | cd18+ | ~101~01 | | 0.018029725 | 0.0178 | 0.0008 | 0.0173 | 31.7121 |
| 357916 | hla-dr+ cd3- cd38- cd16+ cd38+ | 1-0-0-11 | ~1**** | 0.016878443 | 0.1531 | 0.0297 | 0.1234 | 5.1544 |
| 3 5 9 13 15 | hla-dr+ cd3- cd16+ cd82l+ cd11b- | 10110-1 | _11 | 0.018287587 | 0.1860 | 0.0116 | 0.1744 | 16.0015 |



same visual field. Note that many of the cells carry abnormal protein clusters.

Fig. 9 gives all optical sections through each of the 3protein signals indicated by an arrow in Fig. 10a). Fig. 10b) gives the most significant 3D cell surface protein clusters in ALS and Fig. 10a) gives the 3D visualisation of all the cells shown as an optical section illustrated in Fig. 8. As indicated by the different colours (Fig. 10a) each protein has a unique distribution pattern over the cell surface of this individual MNC. Some areas of this MNC show a substantial overlap of the protein signals whilst other parts of the cell surface are characterised by one of these proteins alone. Note that each protein pattern is demanded as rendered volume on the cell surface of each MNC. Overlapping sites of the proteins are not visualized.

Fig. 11 gives another cycle of the cell surface protein cluster shown in Fig. 10a.

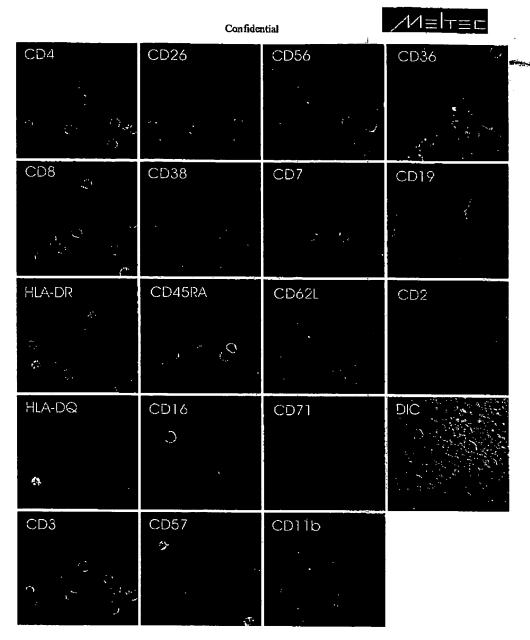


Fig. 8

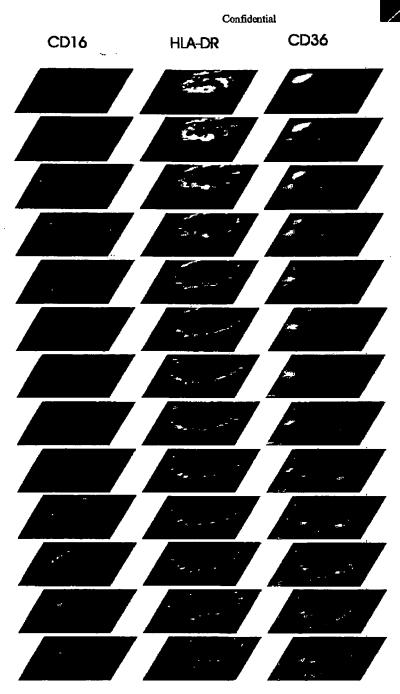
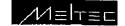
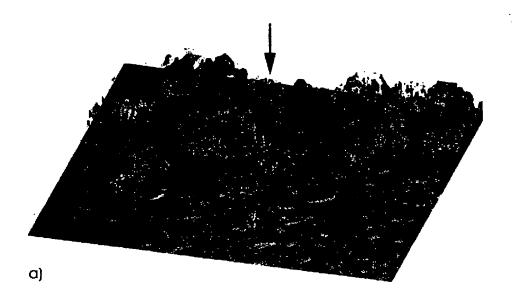


Fig. 9





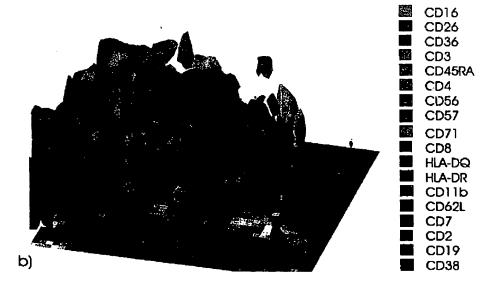


Fig. 10





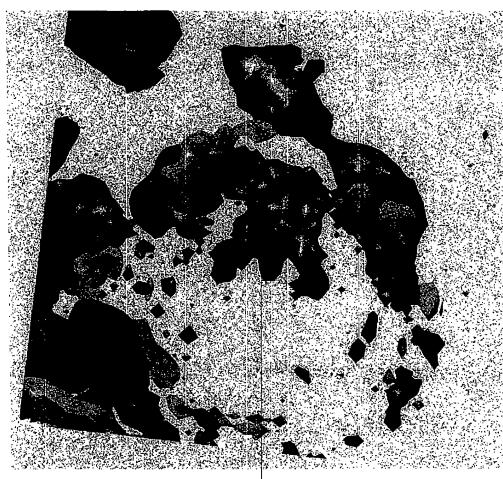


Fig 11

Together the data indicate, that both in normal individuals and in ALS there are several CPP motifs which contain CD16 as the "leading protein", but the motifs are inherently different from each other:

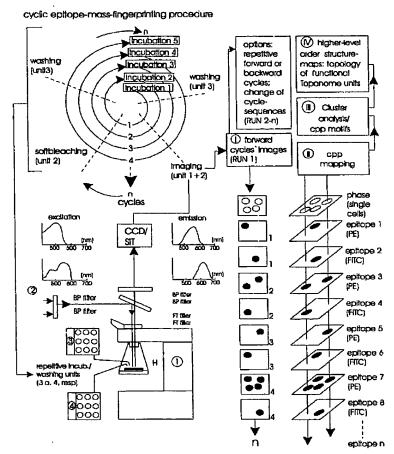
In normal individuals CD 16 co-clusters with CD 11b/CD2 or hladr; if CD 16 co-clusters with hladr the following molecules are always absent: CD11b, CD62l, CD36 and CD 4. This indicates that this invers correlation of cell surface receptors is important for the normal state of MNC.

In contrast, in ALS-CD16, which co-clusters with hladr does strictly also co-cluster with CD36 or CD36 + CD 62l, but never with CD11b. In addition CD16 in ALS does also co-cluster with CD45ra. These clusters strictly exclude hladr and CD11b.

Together these ALS-specific cell surface protein clusters indicate presence of abnormal cell surface differentiation events. On the basis of the given high statistical significance these data represent a valid peripheral biomarker for ALS and basis for CD16-oriented modification therapy.

Technology overview

-Imaging-Cycler MELK: Set up



cpp=Combinatorial Protein Pattern; cpp-motifs: cluster of cpp with a unique and variable combination of proteins

Fig. 1

Toponomic Fingerprinting

Automated Workflow

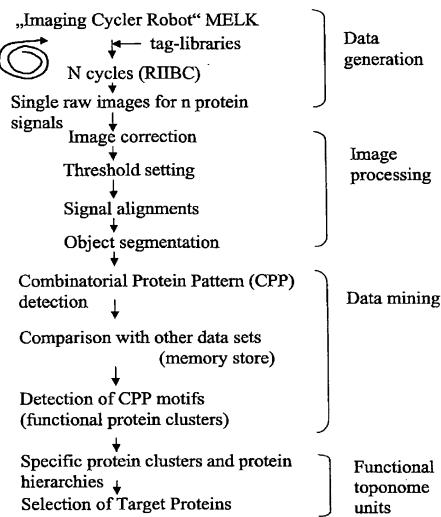


Fig. 2

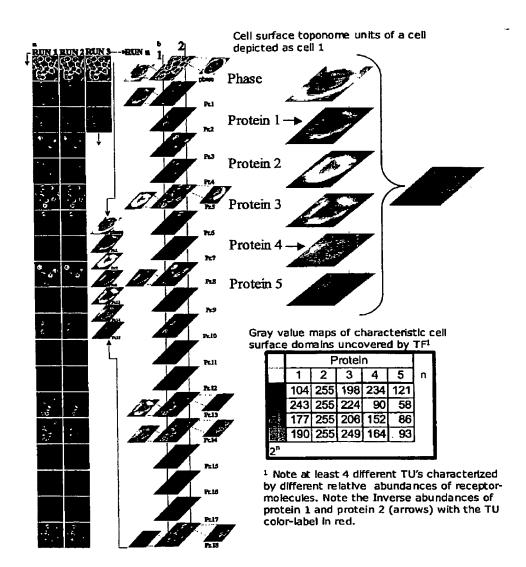


Fig. 3

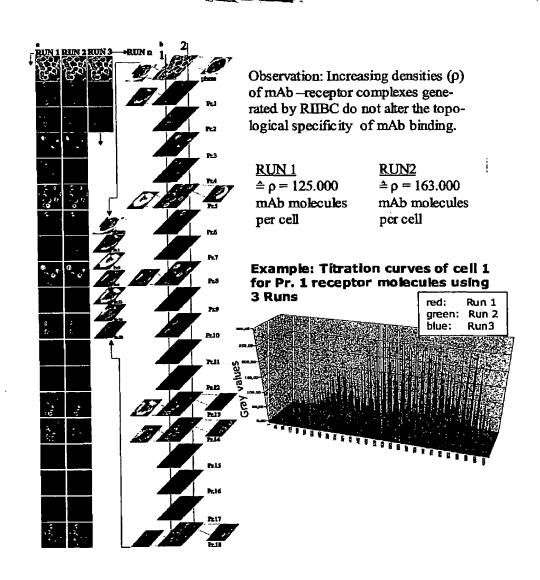


Fig. 4

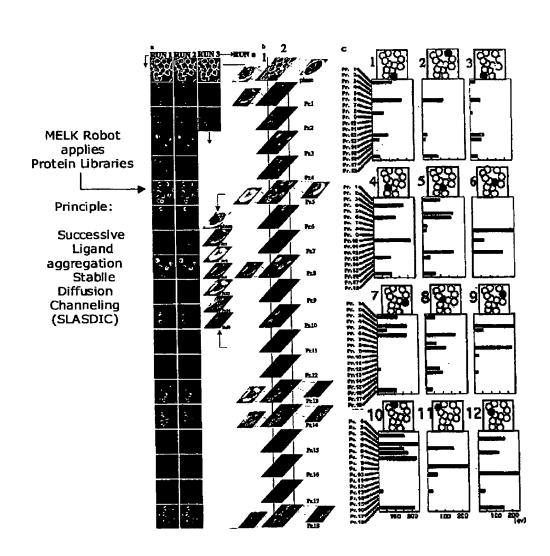


Fig. 5

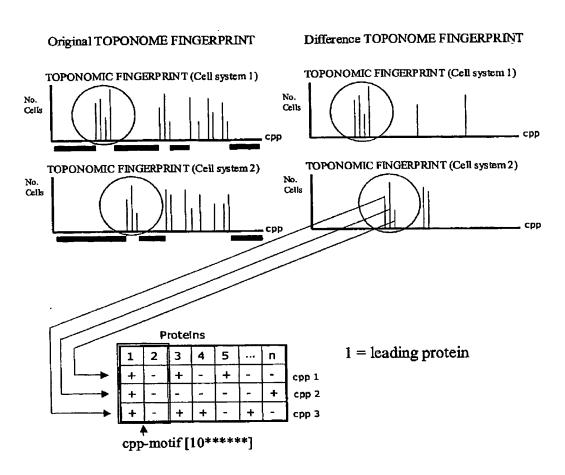


Fig. 6

Biological example I

Two-dimensional toponome fingerprinting of Hepatocytes in Culture

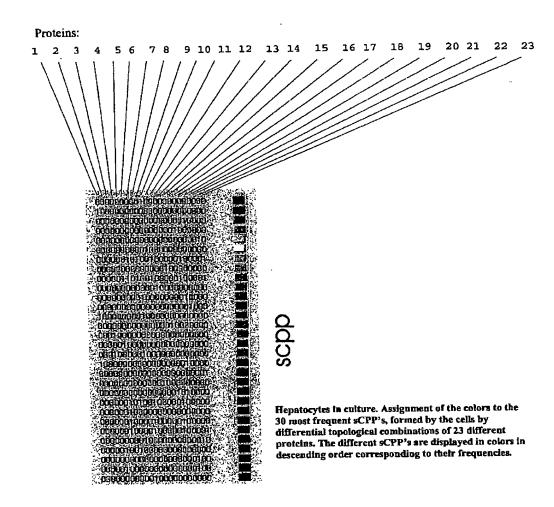
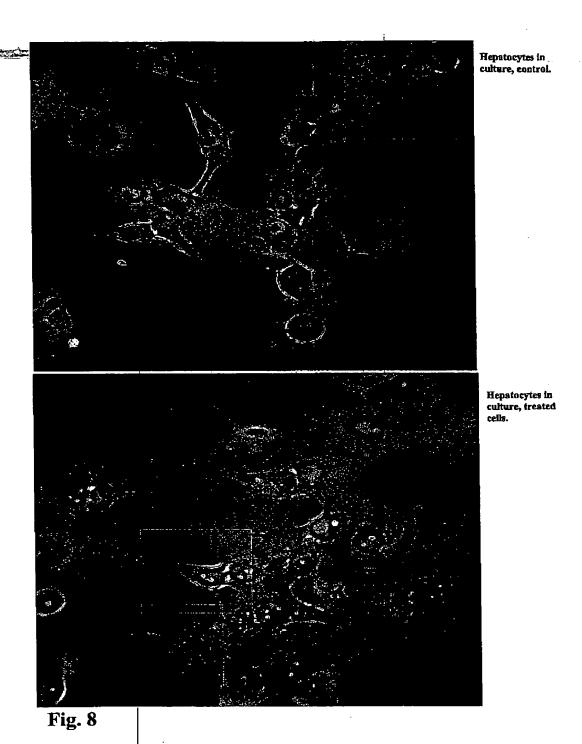


Fig. 7



PAGE 77/102 * RCVD AT 2/8/2006 5:50:21 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/28 * DNIS:2738300 * CSID:6176950892 * DURATION (mm-ss):40-48

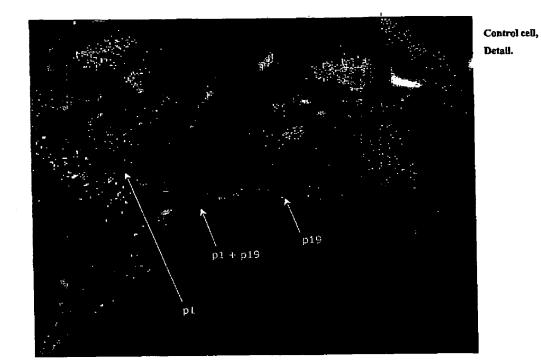


Fig. 9

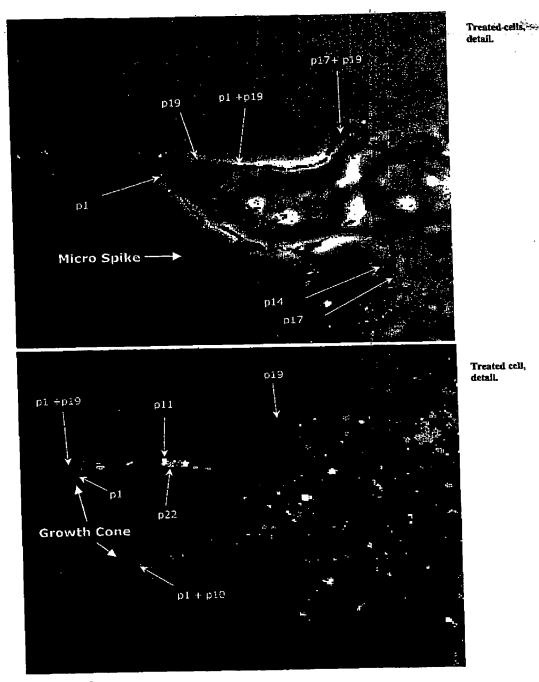


Fig. 10

Biological Example II

Threedimensional mapping of subcellular toponome "landmarks" of Hepatocytes in culture as a basis for mapping-in functional protein complexes

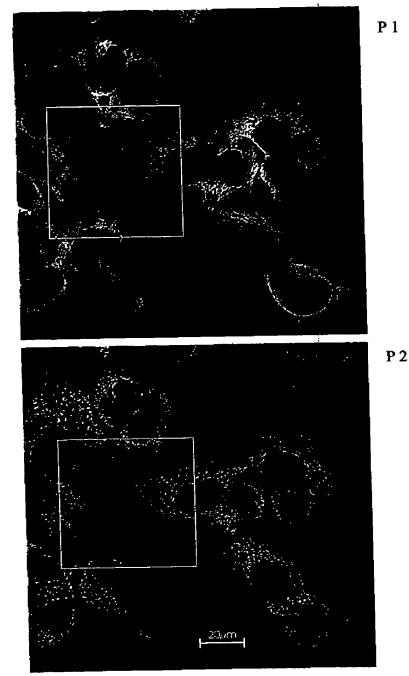


Fig. 11

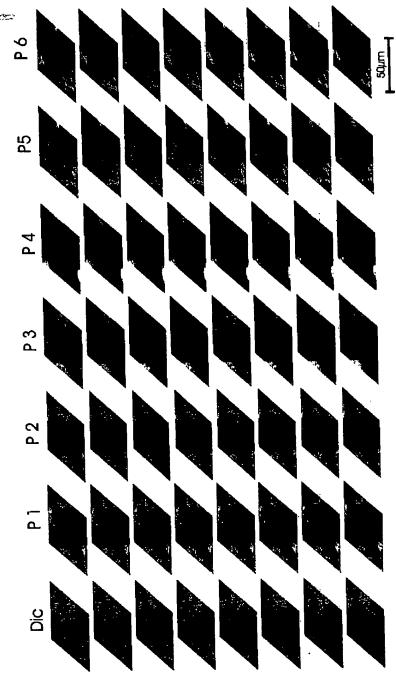


Fig. 12

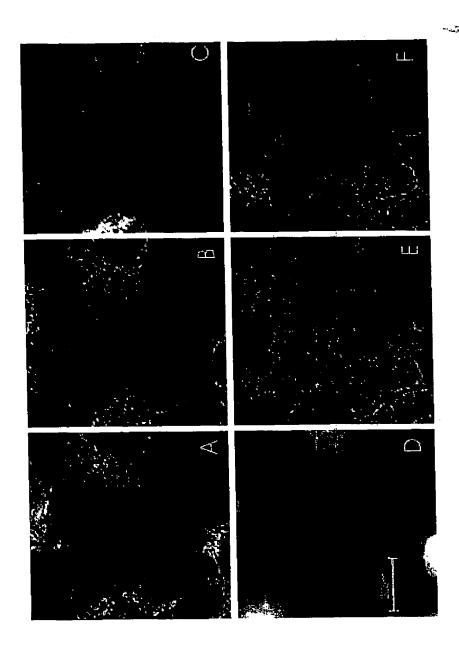


Fig. 13



- P1 Cytokeratin marker
- P 2 Mitochondrial marker
- □ P 3 Golgi-trans Golgi marker
- P4 DNA marker
- P5-Trans Golgl vesicle marker
- P6 Integrin complex

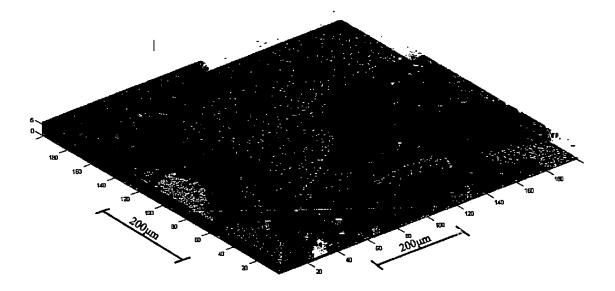


Fig. 14



Fig. 15

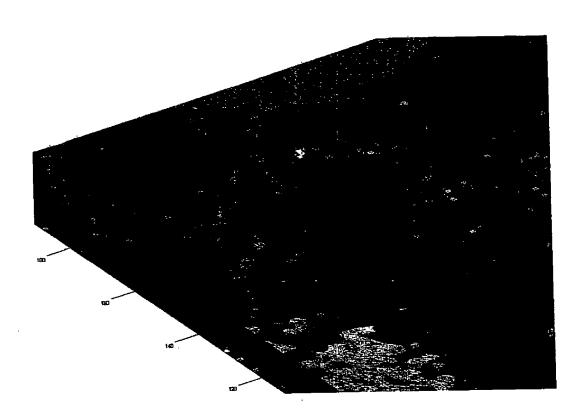


Fig. 16

Biological example III

Threedimensional toponome fingerprinting to detect protein-complexes in tissue sections of the Spinal Cord

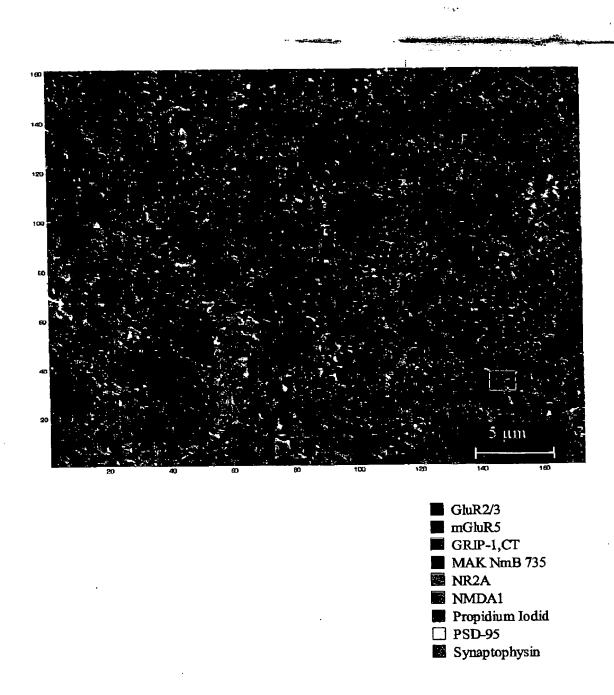


Fig. 17

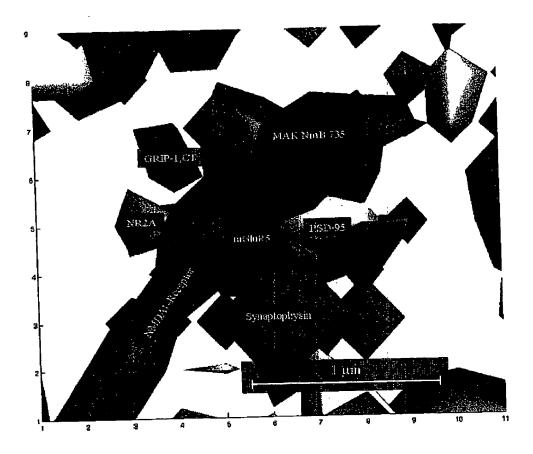


Fig. 18

DECLARATION APPENDIX B: REFERENCES

Sautes et al., 1994

ALS Web page, 2006

Ravetch and Bolland, 2001

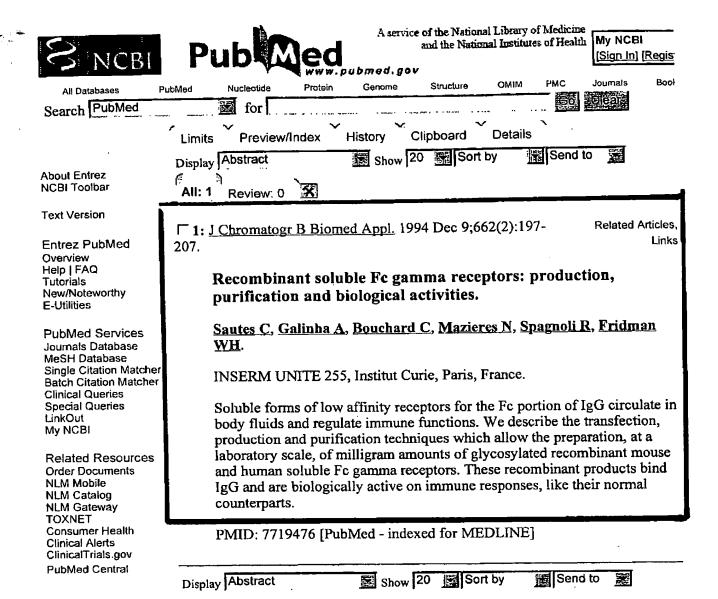
Heyman B., 2000

Takai, T., 2000

Hogarth, P.M., 2002

Sautes-Fridman et al., 2003

Entrez PubMed



Write to the Help Desk

NCB! | NLM | NIH

Department of Health & Human Services

Privacy Statement | Freedom of Information Act | Disclaimer

Feb 8 2006 12:38:55

WSGL

Ø 092 Page 1 of 1

ALS TDF

soluble Fc(gamma) receptors

| | !!No! vet approved !! |
|---------------------|-----------------------|
| | |
| Development Status: | () SAL DEPLOYED |
| | |
| | |
| | |
| | INew Leads |
| | |
| | |
| Pipeline Status: | |
| | |
| | |
| | |

PubMed: Search PubMed for soluble Folgamma) receptors

IGG FC RECEPTORS - Annual Review of Immunology, 19(1):275 - Abstract

Page 1 of 1



ANNUAL REVIEWS

Intelligent Synthesis of the Scientific Literature Nonprofit Publisher of the Annual Review of TM Series

Welcome



Hunter and Extremely the Browse and Section 1997 The Head of the Section 1997 The Head of


| Δ | bs | iti | a | C | t |
|---|----|-----|---|---|---|
| | | | | | |

Annual Review of Immunology

Vol. 19: 275-290 (Volume publication date April 2001)

(doi:10.1146/annurev.immunol.19.1.275)

IGG FC RECEPTORS

Jeffrey V. Ravetch and Silvia Bolland

Laboratory of Molecular Genetics and Immunology, Rockefeller University, 1230 York Ave, New York, NY 10021; e-mail: ravetch@rockefeller.edu

Since the description of the first mouse knockout for an IgG Fc receptor seven years ago, considerable progress has been made in defining the in vivo functions of these receptors in diverse biological systems. The role of activating FcyRs in providing a critical link between ligands and effector cells in type II and type III inflammation is now well established and has led to a fundamental revision of the significance of these receptors in initiating cellular responses in host defense, in determining the efficacy of therapeutic antibodies, and in pathological autoimmune conditions. Considerable progress has been made in the last two years on the in vivo regulation of these responses, through the appreciation of the importance of balancing activation responses with inhibitory signaling. The inhibitory FcR functions in the maintenance of peripheral tolerance, in regulating the threshold of activation responses, and ultimately in terminating IgG mediated effector stimulation. The consequences of deleting the inhibitory arm of this system are thus manifested in both the afferent and efferent immune responses. The hyperresponsive state that results leads to greatly magnified effector responses by cytotoxic antibodies and immune complexes and can culminate in autoimmunity and autoimmune disease when modified by environmental or genetic factors. FcyRs offer a paradigm for the biological significance of balancing activation and inhibitory signaling in the expanding family of activation/inhibitory receptor pairs found in the immune system.

Full Text PDF

Most recent citing papers (via CrossRef)

REGULATION OF LUNE INFLAMMATION IN THE MODEL OF IGG IMMUNE-**COMPLEX INJURY**

Hongwei Gao, Thomas weff, Peter A. Ward

Annual Postew of Pathology: Mechanisms of Disease (2006) 1:215-242 (2006)

Gract | Full Text | PDF (621 KB)

Series Home: Abstract

Prev. Article View/Print Pl Add to Favor Email link to

Qui.

- PubMed
- ISI Çitati
- Citing Ps of Scient
 - Citing Pa
- Alert me New artic
- Downloa manager
- Related: Annual F <u>PubMed</u> via ISI W
- View Mo Reviews

Quit

Annual R

Authors:

Jeffrey '

☐ Silvia B

Keywords

FcR

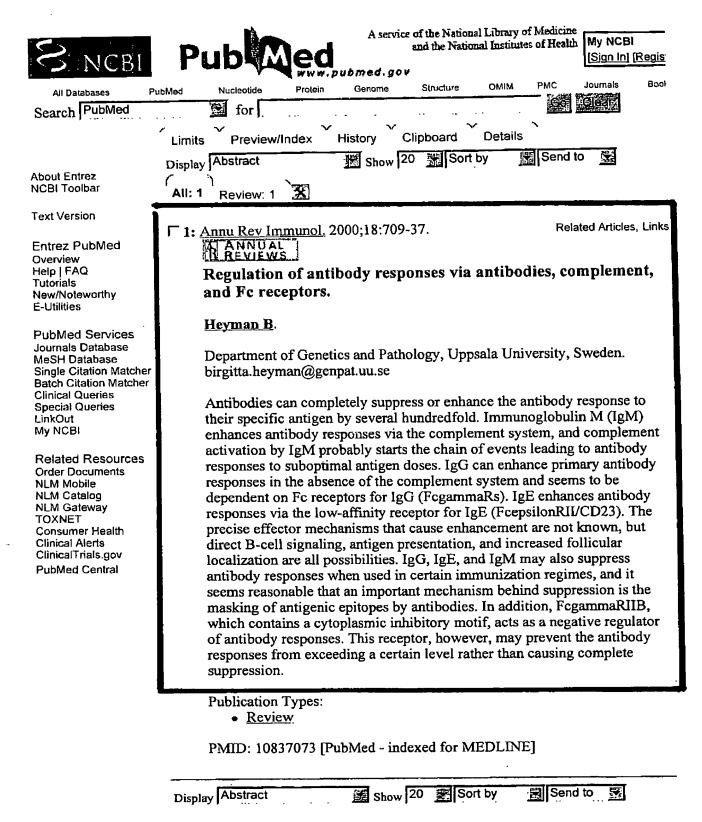
immune inflamm

hyperse

厂 autoimr

Entrez PubMed

Page 1 of



Write to the Help Desk

Untitled



TODE TO A DECIDE TO THE TANK OF THE PARTY OF

August 2002 Vol 2 No 8

REVIEWS

Nature Reviews Immunology 2, 580-592 (2002); doi:10.1038/nri856

ROLES OF FC RECEPTORS IN AUTOIMMUNITY

Toshiyuki Takai about the author

Abstract

The receptors for the Fc of immunoglobulins, Fc receptors (FcRs), link the humoral and cellular branches of the immune system, and they have important functions in the activation and down-modulation of immune responses. Balanced signalling through activating and inhibitory FcRs regulates the activity of various cells in the immune system. Recent work in animal models indicates that the development of many human autoImmune diseases might be caused by impairment of the FcR regulatory system. This review provides an overview of the mechanisms of FcR-based immune regulation and describes how autoimmune disease might result from its dysfunction.

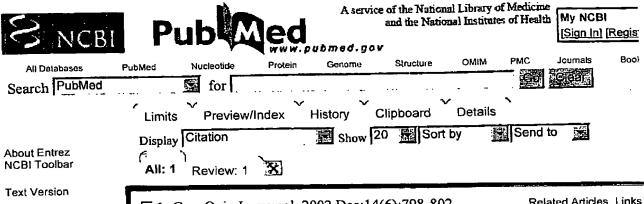
Summary

- Fc receptors for immunoglobulin G (FcγRs) link humoral and cellular Immunity by binding antigen-IgG immune complexes and internalizing the complexes for efficient antigen presentation. Fc vRs comprise many activating-type receptors and a unique inhibitory receptor, FcYRIIB.
- FCYRIIB is a crucial element of peripheral tolerance. Its absence renders B cells and effector cells hyperresponsive to autoantigens.
- In the absence of the Fc-receptor common γ-chain, a pivotal adaptor for activating signalling, mouse models do not develop spontaneous or induced autoimmune disease due to the lack of activation of effector cells, such as macrophages.
- FcγRIIb-deficient mice have enhanced responses in some autoimmune disease models. Spontaneous onset of disease is sometimes observed in the mutant mice.
- Enhanced antigen presentation by Fc receptors on dendritic cells can be an important step in the development of some T-cell-mediated autoimmune diseases.
- Polymorphisms in the ectodomains of human FcyRs are risk factors for autoimmune diseases.
- Some polymorphisms are found in the human FcγRIIB gene, but information on their relation to autoimmune diseases is still required.
- The dynamics of the intimate collaboration between activating and inhibitory FcYRs might determine the balance between tolerance and autoimmunity.

>> SUBSCRIBE

To benefit from the full content of Nature Reviews Immunology every month, simply take out a subscription - click here for details.

Entrez PubMed



Entrez PubMed Overview Help | FAQ Tutorials New/Noteworthy E-Utilities

PubMed Services Journals Database MeSH Database Single Citation Matcher Batch Citation Matcher Clinical Queries Special Queries LinkOut My NCBI

Related Resources Order Documents **NLM Mobile NLM Catalog NLM Gateway** TOXNET Consumer Health Clinical Alerts ClinicalTrials.gov PubMed Central

Related Articles, Links

ELSEVIER FULL-TEXT ARTICLE

Fc receptors are major mediators of antibody based inflammation in autoimmunity.

Hogarth PM.

Helen McPherson-Smith Laboratory, Austin Research Institute, Heidelberg, VIC, Australia. pm.hogarth@ari.unimelb.edu.au

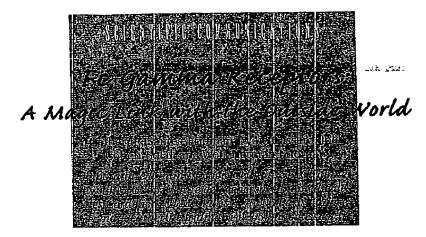
There is now renewed interest in the role of antibodies in autoimmunity. Recent compelling evidence indicates that autoantibodies and the effector mechanisms they induce, for example, Fc receptor activation of leukocytes and/or the complement cascade, are central players in the development of autoimmunity, by perpetuating inflammation and perhaps even regulating the process itself. Of increasing interest are Fc receptors, which have been more closely investigated in the past decade using recombinant proteins, gene deficient mice and mouse models of human disease. These analyses point towards major roles of Fc receptors in antibody hypersensitivity reactions and by extension autoimmune disease, and they reveal opportunities in the development of novel therapeutic approaches in the treatment of autoimmune diseases.

Publication Types:

- Review
- Terms:
 - nimals
 - tigens, CD/immunology
 - Autoimmune Diseases/immunology
 - Autornmune Diseases/therapy
 - munity/immunology*

 - Inflammation/immunology*
 - Inflammation Mediators/immunology*

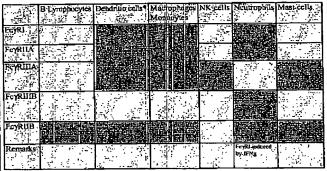
 - Receptors, Fc/imhunology*
 - Receptors, IgG/immunology



Almost every cell of the immune system expresses receptors that are specific for the Fc region of Ig isotypes. The Fc receptors for IgG (FcyR) play a critical role in immunity by linking the IgG antibody mediated responses with cellular effector and regulatory functions of the immune system. Depending on their cytoplasmic region and/or their associated chains, FcyR display both coordinate and opposing roles in immune responses (Figure 1). The activating receptors contain an Immunoreceptor Tyrosine-based Activation Motif (ITAM) in their cytoplasmic region or in their associated signal transducing units.23 They initiate inflammatory, cytolytic and phagocytic activities of immune effector cells.4 The inhibitory receptors contain an Immunoreceptor Tyrosine-based Inhibitory Motif (ITIM) in their cytoplasmic tail.5 Upon crosslinking with ITAM-containing receptors, they down regulate responses. For instance, the inhibitory receptors negatively

TAM - ITING TRUMP THE PARTY OF
Figure 1

regulate Antigen-specific proliferation and differentiation of B cells, IgE-triggered mediator release by mast cells and internalization of IgG-immune complexes by macrophages. Three classes of FcyR exist. FcyRI are high affinity receptors (Kd = 10.4 M for monomeric IgG) whereas FcyRII and FcyRIII exhibit low affinity for monomeric IgG, with Kd for monomeric IgG



(* Feyl expression depands on their activation status. Some FegR are also expressed on Langheraus cells, Essinophilu, Platelers, Eudunheliai cells, Mesangial cells, Mesastnic melanoma)

Table 1 All cell types of the Immune System express functional Fc₇R

ranging from 10.5 to 10.7 M. The receptors are composed of two extracellular domains (FcyRII and FcyRIII) to three extracellular domains (FcyRI) and belong to the Ig-super family. Whereas FcyRI, FcyRIIa and FcyRIII are activating receptors, FcyRIIb1 and FcyRIIb2 are inhibitory receptors generated by alternative splicing. With the exception of NK cells and B cells that exclusively express FcyRIIIa and FcyRIIb respectively, most cell types express both activating and inhibitory receptors (Table I). The cellular response depends on the ratio between activating and inhibitory receptors, and hence on the cytokine environment, since Th1 and Th2 cytokines up-regulate the expression of activating and inhibitory receptors respectively. FcyRIIIb is the unique FcyR anchored to the plasma membrane via a C-terminus-linked GPI moiety. Present exclusively on neutrophils, it plays a predominant role in binding of immune complexes, and its aggregation activates phagocytosis, degranulation, and the respiratory burst leading to destruction of opsonized pathogens.* Activation of neutrophils leads to secretion of a proteolytically cleaved soluble form of the receptor corresponding to its two extracellular domains.10 Soluble FcyRIIIB exerts regulatory functions by competitive inhibition of PcyR-dependent effector functions and via binding to the complement receptor CR3," leading to production of inflammatory mediators.



Recent progress in the FcyR field led to the concept that FcyR control the balance between autoimmunity and tolerance in the periphery. In addition, their opposing roles in antibody-dependent effector cell responses makes them important partners for antibody-based therapies.

How FCY receptors bind IgG

The Fc region is separated from the antigen binding parts of the IgG molecule by a flexible hinge region and forms two structural domains, the CH2 and CH3 domains. Cellular and structural approaches have shown that the lower hinge region contains the major binding site for FcyR. It is established that cross-linking of FcyR membrane molecules is a prerequisite to IgG-mediated cell activation. Since the Fc portion is composed of two identical polypeptide chains that are related to each other by a two-fold axis, each IgG molecule may potentially bind up to two FcyR and initiate cellular responses even in the absence of multivalent antigen. However, stoichiometry of the interaction of soluble FcyRII or III with IgG is 1:1, in solution. Studies by NMR have suggested that a rearrangement occurs in the lower hinge of one heavy chain upon the binding of one FcyR molecule, which may preclude the binding of the second FcγR to the second heavy chain Fc thus providing an explanation to this 1:1 stoichiometry of the interaction.12 Except for FcyRII, all known FcR are members of the Ig superfamily. The crystal structures of the extracellular domains of FcyRII,1814 and FcyRIII15 show remarkable similarity. The receptors consist of two extracellular Ig-like domains, D1 and D2, with acute interdomain hinge angles of 50-55°, unique to Fc receptors, and with a Fc-binding region located in the D2 domain. The recent crystal structure of the FcyRIIIB-Fcyl complex16,17 has revealed that the receptor-ligand interface consists of the BC, C'E, FG loops and the C b strand of the D2 domain, the hinge loop between the D1 and D2 domains of the receptor providing additional interactions with Fcy (Figure 2). The receptor binds assymetrically to the lower hinge region of both Fc heavy chains, creating a 1:1 receptor ligand stoichiometry.17 Low affinity FcyR have a low affinity for monomeric lgG but their biological role is to bind immune

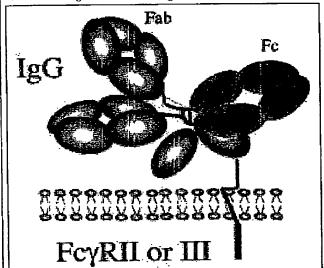


Figure 2 Schematic view of IgG-Fc/RII or Fc/III interaction

complexes. Parallel dimers have been found in FcyRIIIb crystal lattice. Their biological existence still has to be proven. However, it is possible that dimerization increases the avidity for immune complexes and subsequently facilitates cell activation.

Roles of FCY receptors in autoimmunity

Mice deleted for the ITAM-bearing signal-transducing γ chain associated with Fc γ RI and Fc γ RIII or for their respective ligand binding chains have impaired in vitro lgG-dependent phagocytic and ADCC responses (Table II). Since the γ chain is associated with the high affinity receptor for lgE, the γ -chain deficient mast cells are unable to respond not only to lgG but also to lgE. These mice are unable to mount type I and type

| | | F-67 - 727 | | ۳. | | -1 | المنط | | | | MINIO T | ir arm | rone i |
|----------|-----------------------|------------|---------------|-------|---------------|----------|--------------|----------|------------------|--------------|------------|--------------|----------------------|
| · PH | ENOT | DY PE | \mathbf{OF} | м | CE DE | ıπ | CIL | MT.T | N AL | TIVA | | ŒŒ₽ | CONT |
| 1000 | 1 4 44 | | 1 mg 1 | 6- | | -7 | 74 | yu. | | | | | |
| Traf. | de la constitución de | in a 'on | dead | 7 | : An | vii | M 57 | dien | 2.3 | 2.545 | in viv | o studie: | $\mathbb{P}[r^{2r}]$ |
| Den | rea Re | ine pi | 7 | | 325.50 | | 1.5 | 1 | = | - 15 | | 1 | |
| 2 1700 | E CHEY | 177. 2.4 | P T | 12.3 | S Service | 48.1 | harte. | 報報 | *** | FIRM | למוריות | is impa | red = |
| 1.4 | | | | | 100 | 397 | | 1 | 10.55 | : (° > 3) | | | |
| | | - | 1 | | 57.30 | 纳 | 77.85 | 350 | | | - 1 | | |
| | | E-0-1 | | | 200 | ш | | 112 | | | | - T | F-45 |
| | 1 | - | E de | 3 | 建铁岩 | | | 44 X | 100 | HAYDOUS | COSPW D | v reamio | 922. 2 |
| A | F | | F 33 | | | 88 | | 400 | 100 | Zing K | 3331-14 | pG/IRE). | 5 |
| 1 | - | 33 | F.P. | 1.0 | | 100 | 100 | 11.5 | 100 (100) | | | | |
| | 1 | N. | [基] | = | A 100 S | 200 | BEN | 1 | 经接 | Adtebi | icteruil s | urvey (F | OR DESCRIPTION |
| EE. | | | B- 4 | 田 | | 遞 | | | 100 PE | | | (F3) | 7.35 |
| Series . | | | 7. 3 | | 经特别 | 92 | | 123 | 经边边 | | 350 | | A |
| ₹7, € | 232 | 三、 至 | | | 经现在 | SE | | 字 块 | 100 155 | | COU. | 53. | |
| 1 × 2 | | | | | 建工业 | 41 | | | 建 | 11.691 | ming e | o autou | итипе |
| | 7.05 | clini | 11 777 | | 244 | 933 | | 1122 | | 772 | 7 | encoo. | 5 212 |
| | The state of | Lua | | 12 | | æ | | | 翻鎖 | | 4.00 | | - C |
| | 1/200 | 1 | E. 7. | 臣 | 1000 | 234 | | Head | | Soonta | neous I | NZB/Win | nice) |
| 77.5 | | HART C | 6 5.7. | 1 | 新庆安 | 34 | 1500 | 600 | 6.4 | mince | | 20.0 | |
| 100 | | RE | | 93. | | | \$49 | 12.0 | 再选 | innince | GOY | THE COLUMN | -E-1 |
| 100 | F ~ ~ | | 1.35 | ١. | 200 | 2.34 | | | Mark S | S C25 | 147 - 1.12 | | ** |
| | | 100 | | ΙŤ | | 351 | | | | | | * *31 | 4 |
| - | | M. 357 | *:-: | i E | | | | 724 | | l-Cond | gen (1913. | Al_mice) | T |
| 5 | 200 | RIII | | | 100 | 5.6 | 533 2 | は無額 | 便翻栏 | A37 | - Antib | د کا حُفالتہ | E |
| -5 | | | | 3 | | 333 | 333 | | | -T= | - Audo | ر دسس | 2.4 |
| 100 | | F2 | 1 . 13 | | 201 | 53.53 | | 4 63 | | 1 | 50.7 | a | |
| | | 67.67 | | ٠. | | | | 41.7 | | # 7 ₹ | | | 100 |
| - 5.2 | 4 | i a tan | 17.5 | 1 | | 7 | 100 | 300 | | **X | · GR | mertilo | tenhnus |
| 13.3 | | F | | | 9 | 1 | W | 開業 | | | 42 | culntis | F |
| 1.55 | 1.1 | 1.1.1 | F.^* | 7.77 | | 300 | 13.5 | | | 7 | VE. | ciniyus.≟ | |
| | I.===: | 1 | E (| | to also the | av. | | n St | 阿爾 | 1.72 | 4 74 1 | colitis | F |
| 7 | | Harry . | τ. | 1. | | | 国 经 | | | 1.74 | | Training. | |
| - | F 37 | - T | 1.15 | 1 | BOOK ST | | 跨鐵 | 455 | E 100 | 1 | Tiu. ITI | 16. | |
| | F | 17: 62 | 化醇 | įę.; | BURNIN | 100 | 政策 | STATE OF | 四 | | | | |
| 102 | 12 15 25 | r Sign | 1 20 | 11. | Paralle 2 | 5.0 | 医 | 田路 | | | * • AII | HA 🐺 | (美)/(理 |
| 1.72.7 | 100 | 15.22 | 547 | 2 | Dieta de | 1 | 医 群 | | | u | ,)* | | |
| S. 2027 | 1.4 | 1.2.2 | 140 | : + 7 | C-1-25 | į | 22.4 | 14 (3) | A STATE OF | 7.7 | <u> </u> | | |

Table 2

| PHENOTYPE OF | MICE DEF | CIENT IN I | NHIBITORY RECEPTORS |
|----------------------|----------|------------|----------------------------------|
| Deleted gene product | in vitro | studies - | in vivo studies : 🔅 🖰 |
| | | | |
| | | | Functions Enhanced |
| | | | Topic). If all Hypersensilivity |
| | | | Antibody production |
| | | | Anaphylaxie HgE IEG) |
| BeyR11B | | | |
| | | | Specepubility to outsimmuse |
| | | | |
| | | | Sponlaneous |
| | | | (C57Bl6 mies Glomerulonephrins |
| | | | influed by Culfagen (CDA) mike) |
| | | | MOC (EAR) |
| | | | - Glumentimerinis |
| | | | Alveolini |
| 位 建工作 | | | |

Table 3
Inhibitory receptors control inflammation and maintain peripheral tolerance
(IC: Immune Complexes, EAE Expertmental Autoimmune
Encephalomyelitis)

III hypersensitivity reactions and are resistant to the induction of autoimmune diseases.²¹ In contrast, mice deficient for the FcyRIIb gene exhibit enhanced inflammatory responses in vitro and are prone to spontaneous and induced autoimmune



diseases (Table III). These data obtained in mice have led to the concept that many systemic autoimmune diseases are under FcyR control (Figure 3). Complement indeed plays a crucial role in autoimmune-mediated inflammation. Most probably, activating FcyR control autoimmune reactions by increasing the uptake of immune complexes and by triggering effector macrophages whereas the inhibitory receptors control the activation of autoreactive B cells and thus maintain peripheral tolerance. In addition, FcyRIIb regulates the clearance of immune complexes by mononuclear phagocytes present in the spleen and liver. In the human, variants of low affinity FcyRIIa and FcyRIIIa exist, with reduced affinity for immune complexes due to mutations in or near the IgGbinding site." Linkages between such FcyR polymorphisms and autoimmune diseases such as SLE, RA, Guillain Barré syndrome, and multiple sclerosis have been described. In addition, polymorphism in the FcyRIIIb-NA antigens (related to FcyR glycosylation) seem also to be involved in systemic autoimmune diseases.

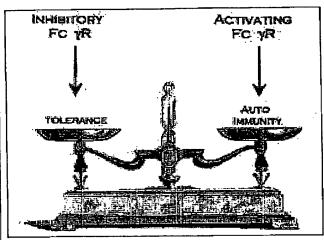


Figure 3
FcyR control the Tolerance-Autoimmunity balance

Roles of FCY receptors in antibody-based therapies

There has been a renewed interest in the last few years in the use of monoclonal antibodies (mAbs) in the diagnosis and treatment of various tumors24 (Table IV). The most impressive clinical results have been obtained with Rituximab, a chimeric anti-CD20 mAb in the treatment of B cell lymphoma. 3 Herceptin, a humanized mAb that recognizes the human oncoprotein HER-2/neu overexpressed in some breast cancers and other tumors, induces clinical responses.28 Other mAbs such as Campath-1H or 17-1A produced encouraging results in the treatment of chronic lymphocytic leukemia21 or colorectal carcinoma respectively. There is increasing evidence that the Fc portion of the anti-tumor mAbs is a major component of their therapeutic activity, through binding to FcyRs expressed by effector cells present in the tumor microenvironment. The polymorphisms of FyRIIIb (Val/Pheiss) and FcyRIIa (His/Argisi) that affect binding of IgG-immune complexes predict the response to Rituximab in patients with follicular lymphoma, supporting the hypothesis that ADCC by NK cells and macrophages plays an important role in the clinical effect. 23,30 As demonstrated in Fcy receptor-deficient mice, the antitumor effects of Rituximab and Herceptin require the presence of the signal transducing y chain to activate FcyRI and FcyRIII expressed on monocytes/macrophages and NK cells and are regulated by inhibitory FcyRIIb at the down monocyte/macrophage level." The improved efficacy in tumor eradication of bispecific molecules (BSMs) that have one arm specific for tumor cells and the other specific for FcyRs on immune effector cells, further illustrates the major role of FcyRs in mAb immunotherapy." The FcyR-dependent biological activities of therapeutic mAb's can indeed be extended to polyclonal IgG. As shown in experimental systems and in man, the efficacy of polyclonal IgG preparations from normal individuals (Intravenous Immunoglobulins) that are currently been used for therapy of many autoimmune diseases depends upon their interaction with host's FcyR.33.54

In view of their pivotal role in the activation and regulation of IgG-dependent effector responses, FcyR provide new tools not only to predict the response to antibody-based therapies but also to manipulate the patient's response to treatment.

| Witheman Target " Antibody & | Nor of clinical |
|-------------------------------|-----------------|
| | trials |
| | 71 (24%) |
| Rinainiab | 71 (24%) |
| Trastuzumab | 42 (15%) |
| Pertuzumab | |
| VEG Bevacizymob | 32 (11%) |
| | 20 (7%) |
| CD52 Alemtuzumab (CAMPATH-1H) | 20 (770) |
| | |
| TETRO (CD25) Daclizumab | 12 (4%) |
| 上海中国的一个一个 | |

Table 4
Clinical trials based on MAb therapy (source Sept 2003 http://clinicaltrials.gov)
(* Percentage of the total MAb based trials, ITP: Idlopathic Thrombocytopenic Purpura)

Ectopic expression of FCYR on nonhematopoletic tumor cells

The first studies indicating that non-hematopoïetic tumors may express FcyRs were performed on a variety of experimental tumors and human cancers. *** However, the ectopic expression of FcyRs by non-hematopoïetic tumor cells was controversial because the presence of inflammatory cells was quickly demonstrated at the tumor site and because FcyR expression was lost during short-term culture of tumor cells in vitro.* In the last few years, studies were initiated analyzing the expression of FcyRs by tumor cells of non-hematopoïetic origin. The studies demonstrated that tumor cells from about 40 percent of human metastatic melanomas tested expressed inhibitory FcyRIIb1 in vivo and ex vivo. In earlier studies using Polyoma virus-induced mouse tumors expressing FcyRIIb1, it was shown that this receptor confers an in vivo growth advantage to tumor cells and increases their malignancy." It was hypothesized that increased tumorigenicity mediated by FcyRIIb1 could involve immunological mechanisms. For



example, FcyRIIb1 expressed by tumor cells could block complement-dependent lysis of tumor cells or could protect tumor cells from ADCC by binding the Fc portion of Abs covering tumor cells.41 Recently, it has been shown that FcyRIIb1 expression by human metastatic melanomas has a profound down regulatory impact on tumor growth and uptake in nude mice. This effect is under the control of IgG anti-tumor antibodies.40

Conclusion

The knowledge about FcyR functions and structure has indeed progressed a lot since their original discovery in the 70s. FLAMAS Not only are FcyR important molecules that inediate and control the effector functions of IgG antibodies, but they also control the autoimmunity-tolerance balance in the periphery. Furthermore, they are major agents of the efficacy of therapeutic antibodies. The recent description of the crystal structure of the FcyR/Fc complex opens new possibilities to manipulate FcyR/Fc interactions and hence the efficacy of such antibodies.

References

- Ravetch J, Bolland S. Annu Rev Immunol. 19: 275-90, 2001.
- 2. Reth, M. Nature. 338: 383-4, 1989.
- Van den Herik-Oudijk IE, Capel PJ, Van der Bruggen T, et al. Blood. 85: 2202-11, 1995.
- 4. Hulen MD. Hogarth PM. Adv Immunol. 57: 1-127, 1994.
- Amigorena S, Bonnerot C, Drake JR, et al. Science. 256: 1808-12, 1992.
- Daeron M. Annu Rev Immunol. 15: 203-34, 1997.
- Lanier LL, Cwirla S, Yu G, et al. Science. 246: 1611-3, 1989.
- 8. Salmon JE, Edberg JC, Kimberly RP, et al. J Clin Invest. 85: 1287-95, 1990.
- 9. Boros P, Odin JA, Muryoi T, et al. J Exp Med. 173: 1473-82, 1991.
- Sautès C, Teillaud C, Mazieres N, et al. Immunobiology. 185: 207-21, 1992.
- 11. Galon J, Gauchat JF, Mazieres N, et al. J Immunol. 157: 1184-92, 1996.
- 12. Kato K, Sautès-Fridman C, Yamada W, et al. J Mol Biol. 295: 213-24, 2000.
- Maxwell KF, Powell MS, Hulett MD, et al. Nat Struct Biol. 6: 437-42, 1999.
- Sondermann P, Jacob U, Kutscher C, et al. Biochemistry. 29: 8469-77, 1999.
- 15. Zhang Y, Boesen CC, Radaev S, et al. Immunity. 13: 387-95, 2000.
- 16. Sondermann P. Huber R., Oosthuizen V, et al. Nature. 406: 267-73, 2000.
- Radaev S, Motyka S, Fridman WH, et al. J Biol Chem. 276: 16469-77, 2001.

- 18. Hazenbos WL, Gessner JE, Hofhuis FM, et al. Immunity. 5: 181-8, 1996.
- 19. Barnes N. Gavin AL, Tan PS, et al. Immunity. 16: 379-89, 2002.
- 20. Takai T, Li M, Sylvestre D, et al. Cell. 76: 519-29, 1994.
- Ioan-Facsinay A, de Kimpe SJ, Hellwig SM, et al. Immunity. 16: 391-402, 2002.
- 22. Van de Winkel JGJ, Anderson CL. J Leukoc Biol. 49: 511-24, 1991.
- 23. Koene HR, Kleijer M, Algra J, et al. Blood. 90: 1109-14, 1997.
- White CA, Weaver RL, Grillo-Lopez AJ, et al. Annu Rev Med. 52: 125-45, 2001.
- Nguyen DT, Amess JA, Doughty H, et al. Eur J Hacmatol. 62: 76-82, 1999.
- Goldenberg MM. Clin Ther. 21: 309-18, 1999.
- 27. Osterborg A, Dyer MJ, Bunjes D, et al. J Clin Oncol. 15: 1567-74, 1997.
- 28. Riethmuller G, Holz E, Schlimok G, et al. J Clin Oncol. 16: 1788-94, 1998.
- 29. Cartron G, Dacheux L, Sailes G, et al. Blood. 99: 754-8, 2002.
- 30. Weng WK, Levy R. J Clin Oncol. 2003.
- Clynes RA, Towers TL, Presta LG, et al. Nat Med. 6: 443-6, 2000.
- Van Spriel AB, Van Ojik HH, Van de Winkel JGJ. Immunol Today. 21(8): 391-7, 2000.
- 33. Bayry J, Pashov A, Donkova V, et al. Vox Sang. 83: 49-52, 2002.
- 34. Bruhns P. Samuelsson A. Pollard JW, et al. Immunity. 18: 573-81, 2003.
- Milgrom F, Humphrey LJ, Tonder O, et al. Int Arch Allergy Appl Immunol. 33: 478-92, 1968.
- 36. Tonder O, Morse PA, Humphrey LJ. J linmunol. 113: 1162-9, 1974.
- 37. Witz IP. Adv Cancer Res. 25: 95-148, 1977.
- 38. Svennevig Jl., Andersson TR. Br J Cancer. 45: 201-8, 1982.
- 39. Noltenius HW. Cancer. 48: 1761-7, 1981.
- Cassard L, Cohen-Solal JFG, Galinha A, et al. J Clin Invest. 110: 1549-57, 2002.
- 41. Witz IP, Ran M. Immunol Res. 11: 283-95, 1992.
- 42. Basten A, Miller JF, Abraham R, et al. J Exp Med. 135: 610-26, 1972.
- 43. Dickler HB, Hunkel HG. J Exp Med, 136: 191-6, 1972.
- 44. Yoshida TO, Andersson B. Scand J Immunol. 1: 401-8, 1972.
- 45. Fridman WH, Golstein P. Cell Immunol. 11: 442-55, 1974.

Application No. 10/664,678 Filed: September 12, 2003 Group:Art Unit: 1644

DECLARATION APPENDIX C: REFERENCES with URL addresses

Recombinant soluble Fc gamma receptors: production, purification and biological activities. Sautes C, Galinha A, Bouchard C, Mazieres N, Spagnoli R, Fridman WH. J Chromatogr B Biomed Appl. 1994 Dec 9;662(2):197-207.

http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&db=PubMed&list_uids=7719476&dopt=Abstract

Nakamura et al., 2005. [Examiner cited]

Fc receptor targeting in the treatment of allergy, autoimmune diseases and cancer. Nakamura, A., K. Akiyama, and T. Takai. Expert Opin. Ther. Targets (2005) 9(1):169-190 http://www.ashley-pub.com/doi/abs/10.1517/14728222.9.1.169;jsessionid=ihuMe4IGGJW9NTjBlL?cookieSet=1&journalCode=ett

Clark et al., 2005: [359 page document; accessible online]
"Amyotrophic Lateral Sclerosis: A report on the state of research
into the cause, cure, and prevention of ALS," June 2005; J. Clark, C.
Pritchard, S. Sunak; Prepared for the Dept. of Public Health, State of
Massachusetts by the ALS Therapy Development Foundation;
http://www.als.net/docs/ALSReport.pdf

ALS Web page: [see Declaration Appendix B; one page]
http://www.als.net/research/treatments/treatmentDetail.asp?treatmentID=993

Ravetch and Bolland, 2001: [see Declaration Appendix B for Abstract] IGG FC RECEPTORS. Jeffrey V. Ravetch and Silvia Bolland. Annual Review of Immunology. Vol. 19: 275-290 (Volume publication date April 2001); (doi:10.1146/annurev.immunol.19.1.275) http://arjournals.annualreviews.org/doi/abs/10.1146/annurev.immunol.19.1.275

Heyman B., 2000: [see Declaration Appendix B for Abstract]
Regulation of antibody responses via antibodies, complement, and Fc receptors. Ann. Rev. Immunol. (2000) 18:709-737
http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&db=PubMed&list uids=10837073&dopt=Abstract

WEINGARTEN, SCHURGIN, GAGNEBIN & LEBOVICI LLP TEL. (617) 542-2290 FAX. (617) 451-0313

Application No. 10/664,678 Filed: September 12, 2003 Group Art Unit: 1644

(DECLARATION APPENDIX C, continued: REFERENCES with URL addresses)

Takai, T., 2000: [see Declaration Appendix B for Abstract]
Roles of Fc receptors in autoimmunity. Nat. Rev. Immunol. (2000)
2(8):580-592
http://www.nature.com/nri/journal/v2/n8/abs/nri856 fs.html;jsessionid=
DF9B74E88ADA0BA9C09B6D5E32B93087

Hogarth, P.M., 2002: [see Declaration Appendix B for Abstract]
Fc receptors are major mediators of antibody based inflammation in autoimmunity. Curr. Opin. Immunol. (2002) 14(6):798-802.
http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&db=PubMed&list_uids=12413532&dopt=Citation

Sautes-Fridman et al., 2003: [see Declaration Appendix B for article]
Fc Gamma Receptors: A magic link with the outside world. Catherine
Sautes-Fridman, Lydie Cassard, Joel Cohen-Solal and Wolf-Herman
Fridman. ASHI Quarterly, 4th Quarter, 2003, p. 148-151
http://www.ashihla.org/publicationfiles/ASHI Quarterly/27 4 2003/Fc Gamma Rec.pdf

WEINGARTEN, SCHURGIN, GAGNEBIN 5 LEBOVICI LLP TEL. (617) 542-2290 FAX. (617) 451-0313 --··

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

| Defects in the images include but are not limited to the items checked: |
|---|
| ☐ BLACK BORDERS |
| ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES |
| ☐ FADED TEXT OR DRAWING |
| BLURRED OR ILLEGIBLE TEXT OR DRAWING |
| ☐ SKEWED/SLANTED IMAGES |
| ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS |
| ☐ GRAY SCALE DOCUMENTS |
| LINES OR MARKS ON ORIGINAL DOCUMENT |
| ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY |
| |

IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.